### EE213. Microscopic Nanocharacterization of Materials Lecture 13. 2016

Three Dimensional Imaging/Characterization

Holography Tomography

March 1, final paper rough outline due. COB

#### Final Paper

- 1. Paper: due last day of class
- Topic should be about a particular microcharacterization technique and comparison with at least one other method. From topics covered in course outline.
- 3. You must discuss the spatial resolution characteristics and limits.
- 4. Abstract or summary of each paper listed as references.
- 5. Discuss typical application use, briefly.

#### EE213. Final Topics

Ryan Gardner Photoelectron microscopy

M. Ahsan Habib NSOM

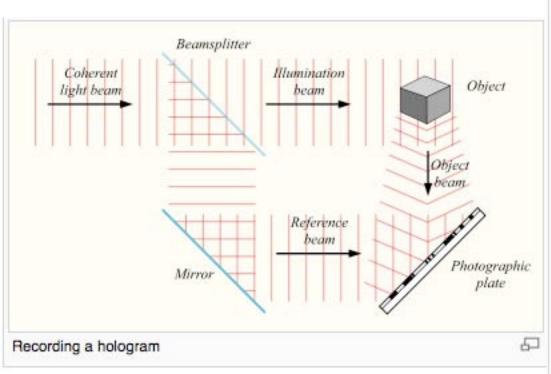
Bingzhang Lu Atomic Force Microscopy

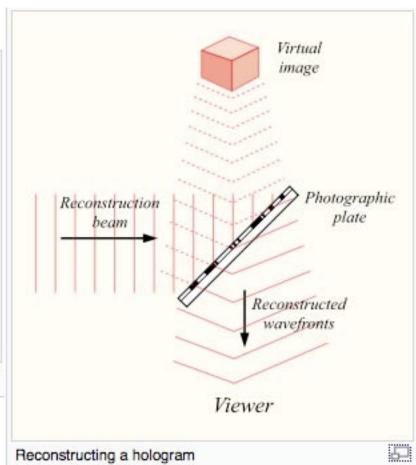
Evan Petersen Confocal, 2 photon and wide field microscopy

Renee Sully SIMS

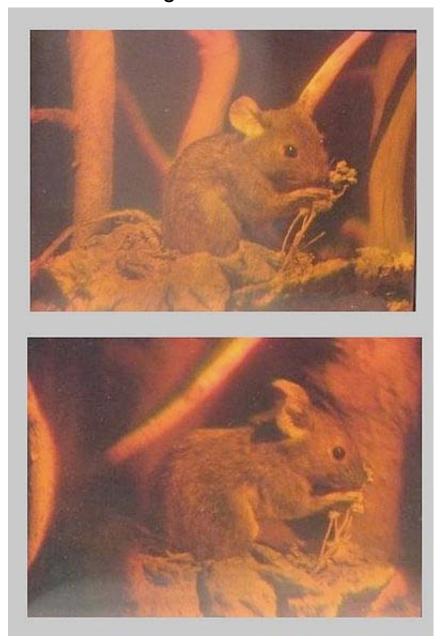
Bin Yao

#### **HOLOGRAPHY** principle





Photographed Images at 2 different angles from a hologram of a mouse



election holography - another characteristics tool. original idea by Gaber in the t950's / 777-778 1949 Proc. Roy Soc(Lon).
D. Gabor (1948). Nature. 161. 563-564. / 1949 Proc. Roy Soc(Lon). idea was to uncert the abunations of electrus whope lenses — NBC Prite in the 705/1971 (leith & Upatrullo , ) 105A.52, 1123-30 demonstrated with lasers in the laster 60's and not believes in the 180's basically neating an interference pattern that has info on amplitude and phase of scattered wave - a single image only untains the amplitude info since it is  $I(x,y) = |Ae^{i\phi}|^2$ AND it allowed one to create a 30 image ! sine they change the "phase" of an elections wave.

exception of Rothamsted Experimental Station and a few centres in the United States, there existed no other laboratory where experience in so many aspects of plant virus study could be obtained, applications from foreign workers for this training became very frequent. Unfortunately, these activities had to be severely curtailed owing to the lack of laboratory accommodation. Nevertheless, it may be mentioned that students have come to take either research degrees or courses of instruction in plant virus work from Argentina, Australia, Belgium, Brazil, Canada, China, Czechoslovakia, Denmark, Gold Coast, India, New Zealand, Poland, Portugal, South Africa, Sweden and the United States, and visitors have come from all over the world.

In looking back over two decades, it becomes evident how, with increasing knowledge and new technical discoveries, the trend of virus research has changed. In the beginning, most of the emphasis was placed on the disease, and symptomatology was all-important, although the study of the relationships between the viruses and their insect vectors was already being undertaken. The isolation of tobacco mosaic virus by Stanley in 1935, however, was the key which opened the door to the study of the virus itself, quite apart from the disease it may cause. A brief review of some of the main contributions by the Cambridge workers illustrates this change of emphasis in virus research. For the first few years, attention was directed almost entirely towards potato virus diseases, and from this work three items of interest may be noted. The first of these was the identification of the insect vector of potato leaf-roll, which was later also found to carry another potato virus. This was the aphis, Myzus persica, and it was almost the first introduction to public notice of the aphis which, since that time, has become of paramount importance in the field of plant viruses and seems to be the most efficient vector of these agents in the world. It is now known to transmit more than twenty distinct viruses. The next addition to our knowledge of potato viruses was the discovery of the paracrinkle virus in potatoes of the variety King Edward; this is one of the unsolved puzzles of the virus world, since it is present in all plants of this potato variety, but no method is known by which it can spread in Nature. The case of paracrinkle is often quoted as evidence of the heterogenesis of viruses by those who hold this view. The third item was the analysis, for the first time, of a plant virus complex by differential methods of transmission, and the isolation of the two potato viruses now universally known as X and Y.

In 1931 the virus of temate spotted wilt was discovered for the first time in Europe; it was found in an ornamental plant sent to Cambridge from Cardiff. Before this it had not been seen outside Australia. Since then the distribution of the virus has become world-wide, and in Great Britain it is one of the major problems of the temate grower with 'mixed houses'.

The viruses of tomato bushy stunt and tobacco necrosis, both described for the first time in Cambridge, have proved of great scientific interest. The virus of tomato bushy stunt, about which more is known than of most viruses, was the first to be isolated in a three-dimensional crystalline form, and this was accomplished by Bawden and Pirie, after the former had left Cambridge. Shortly after this the virus of tobacco necrosis was isolated as thin crystalline plates. About this time, also, the com-

paratively new technique of plant virus serology was applied to the study of potato virus X.

In 1938 a new virus complex affecting the tobaccoplant, known as 'rosette', was investigated, the chief point of interest being the apparent relationship between the two component viruses. This is suggested by the fact that, while both viruses are aphistransmitted if they are together in the plant, one of the two cannot be picked up by the insect if the other virus is not present.

During the period 1940-45, several new viruses have been described, those of Arabis, belladonas and lovage mosaic, tobacco broken ringspot, tomato black ring and of two new potato diseases, veinal necrosis and veinal yellows, which were found in some South American potatoes. Of these new viruses, those of Arabis mosaic and broken ringspot are of especial interest, since they appeared in plants, inside the experimental glasshouses with no apparent explanation of their origin.

During the last two years an extremely interesting and important new virus has been discovered and studied. Known as turnip yellow mosaic virus, it has been isolated in two different crystalline forms and, like other plant viruses studied so far, it is a nucleoprotein. In addition to the active virus, infected plants also contain a protein which is apparently the virus protein but lacks the nucleic acid. This protein has also been crystallized, and studies of the biological and biophysical properties of these two proteins are now in progress. The virus is also of interest in having an entirely new kind of insect vector, one with biting mouthparts, namely, a fleabeetle. This is the first record, both of transmission of a virus by this insect and of the insect transmission of a crystalline plant virus.

Electron microscope studies in conjunction with Dr. V. E. Cosslett of the Cavendish Laboratory, and with Dr. R. W. G. Wyckoff in the United States, have also been made [see p. 760 of this issue of Nature]. An interesting outcome of this work is that the structure of the crystals of tobacco necrosis virus and turnip yellow mosaic virus has been demonstrated by this means.

#### A NEW MICROSCOPIC PRINCIPLE

By Dr. D. GABOR

Research Laboratory, British Thomson-Houston Co., Ltd., Rugby

IT is known that the spherical aberration of electron lenses sets a limit to the resolving power of electron microscopes at about 5 A. Suggestions for the correction of objectives have been made; but these are difficult in themselves, and the prospects of improvement are further aggravated by the fact that the resolution limit is proportional to the fourth root of the spherical aberration. Thus an improvement of the resolution by one decimal would require a correction of the objective to four decimals, a practically hopeless task.

The new microscopic principle described below offers a way around this difficulty, as it allows one to dispense altogether with electron objectives. Micrographs are obtained in a two-step process, by electronic analysis, followed by optical synthesis, as in Sir Lawrence Bragg's 'X-ray microscope'. But

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#### THE PRINCIPLE OF WAVE-FRONT RECONSTRUCTION

Consider a coherent monochromatic wave with a complex amplitude U striking a photographic plate. We write  $U=A\mathrm{e}^{i\psi}$ , where A and  $\psi$  are real. U may be decomposed into a 'background wave'  $U_0=A_0\mathrm{e}^{i\psi_0}$ , and a remainder  $U_1=A_1\mathrm{e}^{i\psi_1}$  which is due to the disturbance created by the object and may be called the secondary wave. Thus the complex amplitude at the photographic plate is

$$U = U_0 + U_1 = A_0 e^{i\phi_0} + A_1 e^{i\phi_1} = e^{i\phi_0} (A_0 + A_1 e^{i(\phi_1 - \phi_0)})$$
 (1)

and its absolute value  $A = [A_0^2 + A_1^2 + 2A_0A_1\cos(\psi_1 - \psi_0)]^{\frac{1}{2}}$ .

from Galon, 1949

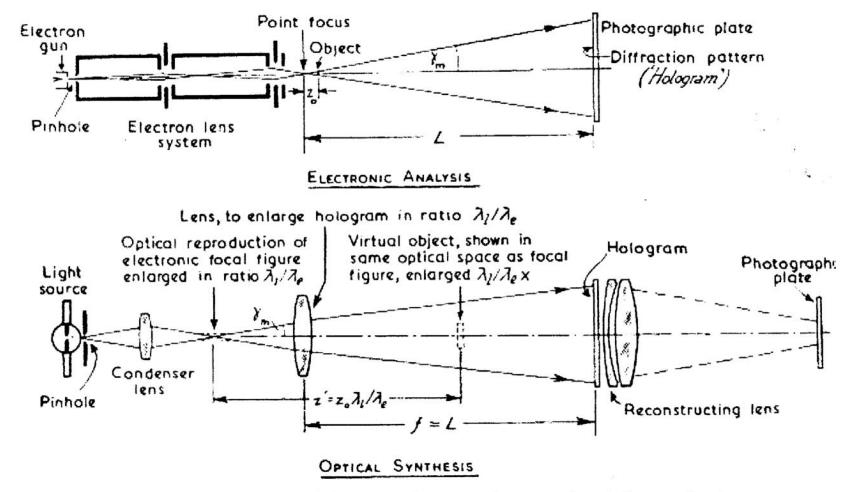
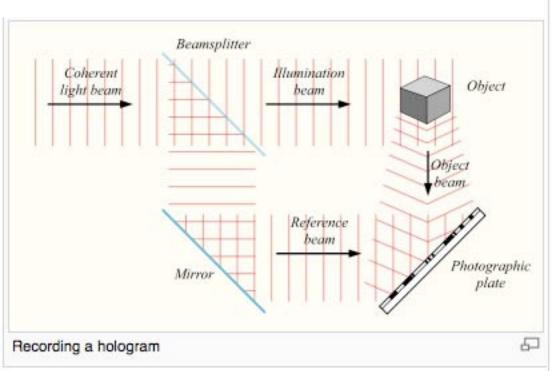
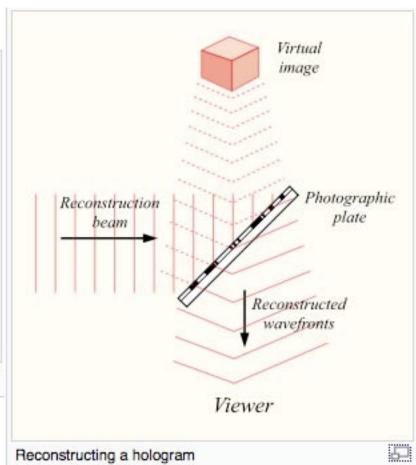


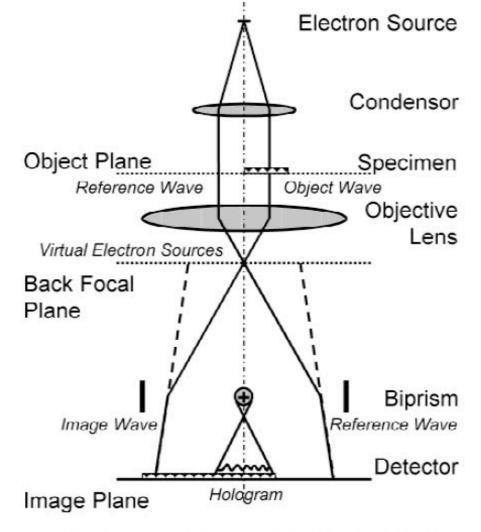
FIGURE 1. Principle of electron microscopy by reconstructed wave-fronts.

from Gabor, 1949.

#### **HOLOGRAPHY** principle







Lehmann and Lichte. Microscopy and Microanalysis. 8(6).447-466. (2002)

Figure 6. Taking a hologram. The object under investigation covers only half of the object plane, whereas the other half serves as a reference area. The reference wave as well as the object wave are imaged by the objective lens. Due to the wave transfer function, the object wave is changed so that the aberrated image wave is formed. The biprism deflects both parts of the wave towards each other, yielding the hologram in the overlapping region.

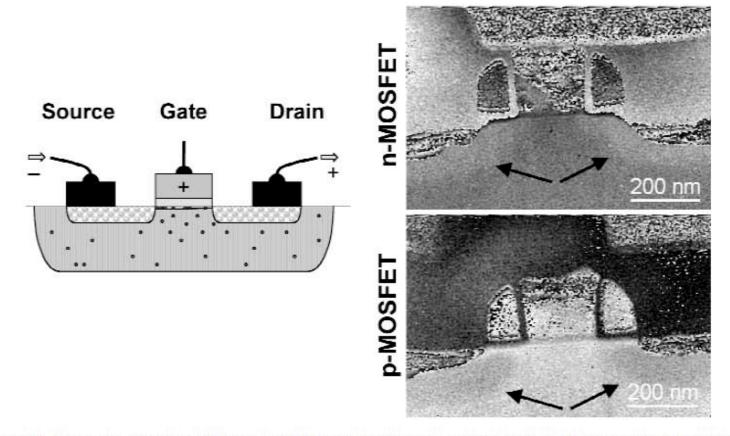


Figure 16. Dopant profiling. The alignment of the dopants with respect to the gate electrode is increasingly critical with increasing integration density. Holographic phase images allow the mapping of potential distribution arising due to doping. The dark and bright seam (arrows) shows the potential distribution with opposite sign in p-doped and n-doped MOSFETs, respectively.

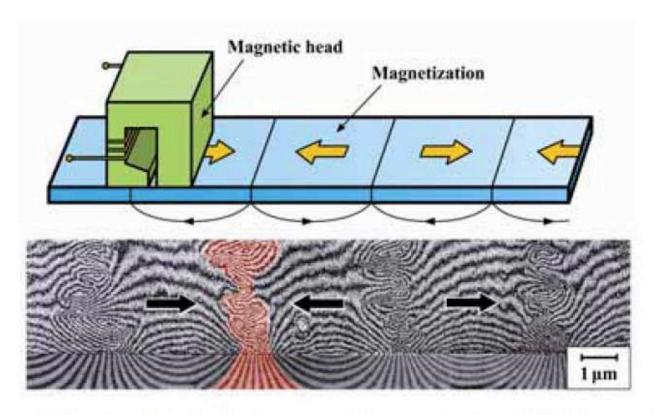


Fig. 9. Magnetic lines inside and outside a recorded magnetic tape. Detailed magnetic lines observed under various conditions, such as tape material and spacing and gap of head, provide information about how higher density recording can be attained.

#### From Tonomura,

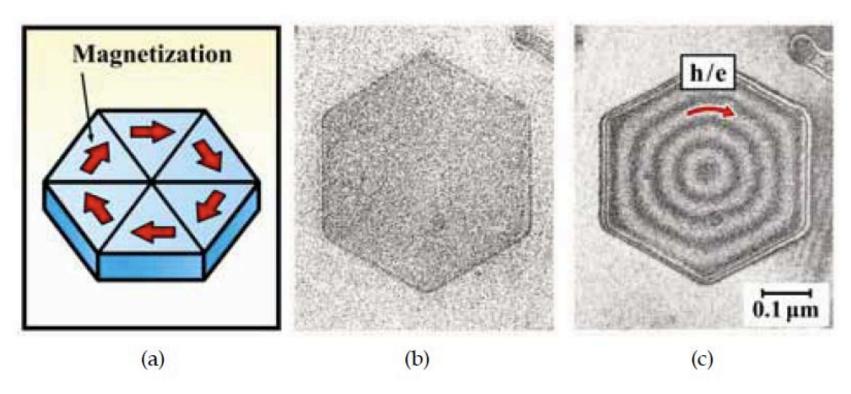


Fig. 6. Hexagonal cobalt particle. (a) Schematic. (b) Electron micrograph. (c) Interference micrograph (phase amplification  $\times$  2). Phase contours in interference micrograph (c) indicate magnetic lines in h/2e flux units. Magnetic lines are circular inside the particle.

#### Electron Holography: mapping electric fields

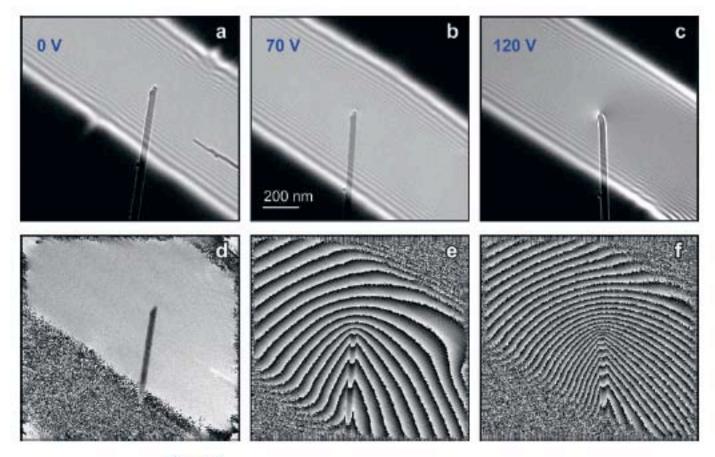


Figure 8

Observation of a field-emitting carbon nanotube. a, b, and c show electron holograms at bias voltages  $V_B = 0$  V, 70 V, and 120 V, respectively. d, e, and f show reconstructed phase images corresponding to a, b, and c, respectively. The phase contours correspond to a spacing of  $2\pi$  radians. The phase gradient in f corresponds to an electric-field strength of  $\sim 1.2$  V nm<sup>-1</sup> at the tip of the nanotube (from Reference 89).

tomography / 3D Imaging. holography was one method of getting "30". tomography uses method of reconstructed 210 projections. ie, one roads a series of " projections" images taken from different directions. one does this in either Finish space or Real space. 1" paper that performed this "reconstruction" in 1968 De Rosier and Klug. (1968). Nature. 217.73 130-134. used Former proj. rewristr. show their - this unks best for periodic objects. cartons of FT projections another method that is widely used, particularly for objects which may not have perioductly (as ignimates) is the "back projection" method. based on the Radon transformation (rather than Firener) Johann Rachen (1917). translated in 1986"→ 程 P.C. Parks (1986). IEEE Transactures on Mederal Imaging, Vol. MI-5. (4), 170-176. Radin transform => simogram

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#### The Radon transform

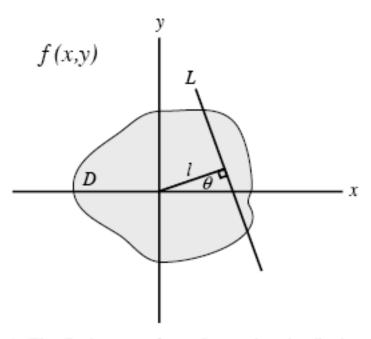


Fig. 1. The Radon transform R can be visualised as the integration through a body D in real space f(x,y) along all possible line integrals L, with its normal at an angle  $\theta$  to the horizontal.

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How Back Projection Works (simplified)						
take a 2x2 pixel tomogram						
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Tolumns						
take two tilt projections / at 90° to each other						
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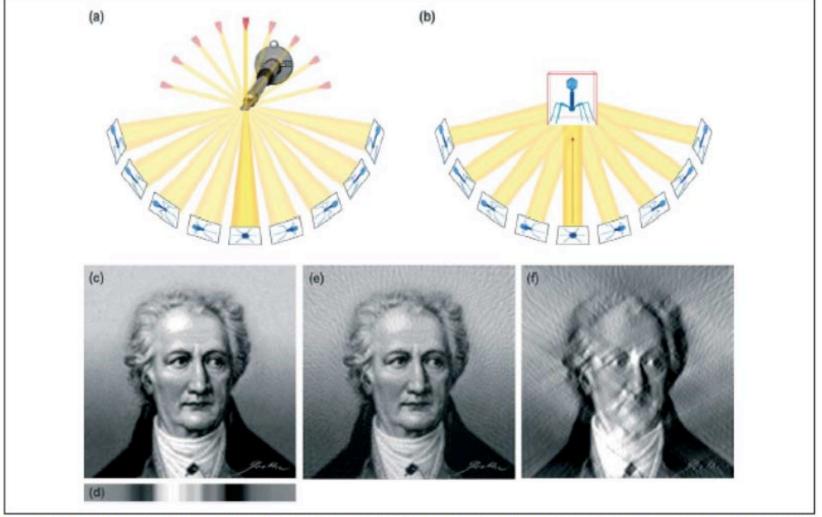
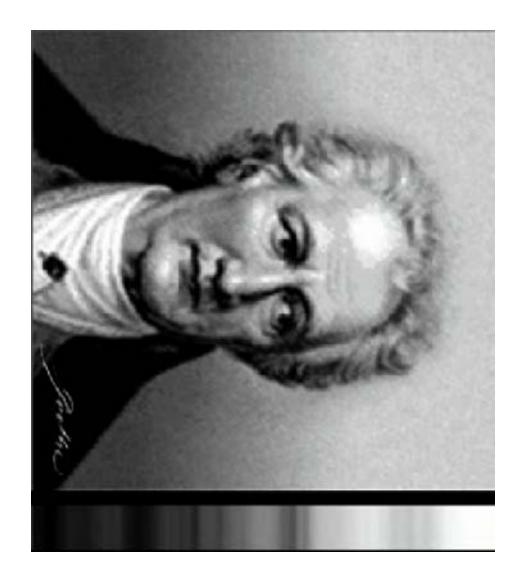


Figure 1. Principles of ET. (a) A biological specimen, in this case a bacteriophage contained in an EM sample holder, can be imaged from several orientations by filting the holder in the microscope. (b) Process of computed back-projection, in which each tilted view is used to contribute to a reconstruction of the original structure. (e) Example of a 2D image (the face of Goethe), representing a slice cut from a 3D object in a plane perpendicular to the tilt axis. (d) Projection of the 2D object as a 1D distribution of densities reflecting the summation of all of the brightness in the picture along a set of vertical lines. (e) Reconstruction of Goethe's face achieved by back-projecting 90 of the 1D projections taken at 2° intervals between +90° and -90° from the horizontal. The ripples in the image represent the resolution limitation caused by having only 90 images. Twice the number of images taken at half the filt increment would reduce the size of the ripples by approximately twofold. (f) A further limitation on resolution is imposed by reconstructing the image from a more restricted range of tilted views taken between +60° and -60° from the horizontal. Because a wedge of data is missing, the reconstruction quality is anisotropically degraded. The vertical detail is still sharp (note the clarity of the shoulders, the nose and the ear); by contrast, the horizontal detail is poorly defined (note the virtual absence of a mouth). This kind of anisotropy is characteristic of single-exis tomograms constructed from data collected from a limited range of tilt.

#### **Goethe Projections**



R.McIntosh,et.al. 2005

· Demonstration of the FBP algorithm:

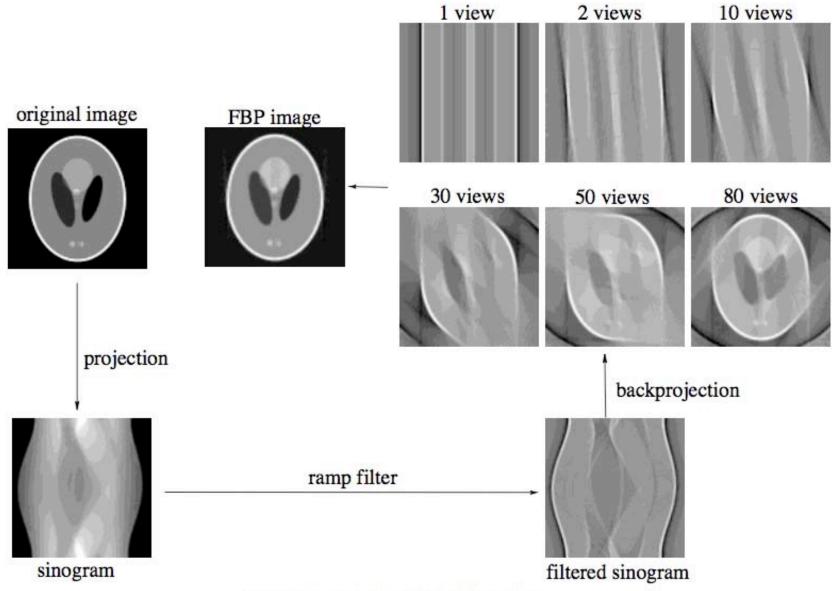
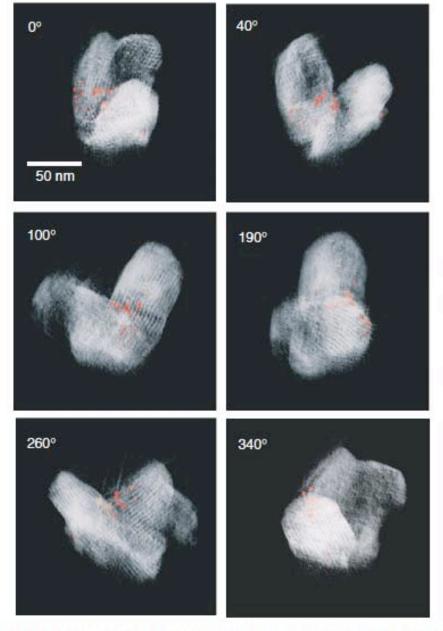


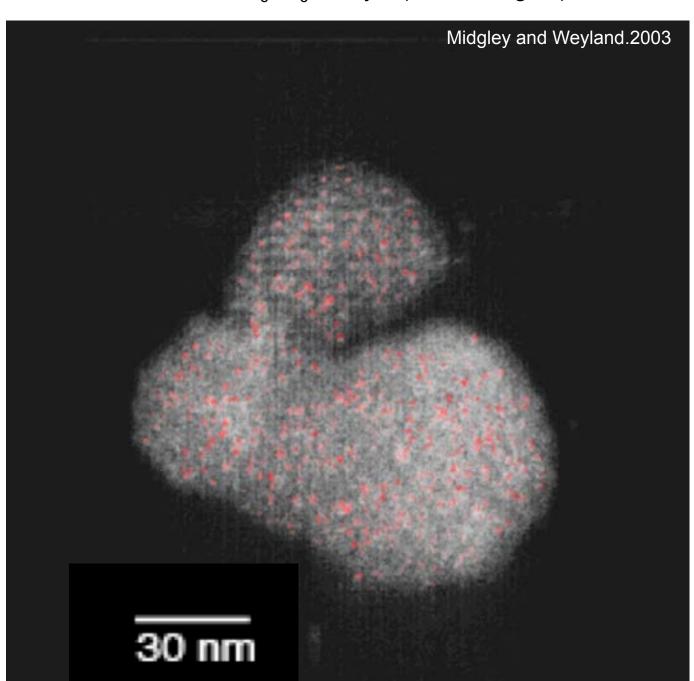
Figure 8. Demonstration of the FBP algorithm.



Midgley and Weyland. Ultramicroscopy. 96(2003).413-431.

Fig. 8. (a) A montage in which each image is a voxel projection of the 3D reconstruction of an MCM41-Pd<sub>6</sub>Ru<sub>6</sub> catalyst viewed at angles shown in the figure. The 3D structure of the mesopores is well resolved. The nanoparticles are coloured red to improve clarity.

MC41-Pd<sub>6</sub>Ru<sub>6</sub> catalyst (HAADF signal)



#### Some references

#### Tomography:

R. McIntosh et.al. (2005). Trends in Cell Biology. 15(1).pp.43-61.

P.A. Midgley and M. Weyland. Ultramicroscopy. 96(2003). pp. 413-431

General: Steven W. Smith. The Scientists and Engineers Guide to Digital Image Processing. <a href="www.dspguide.com">www.dspguide.com</a> can download for free

#### Holography:

D. Gabor. Nature. 161.(1948).pp. 777-778. Leith and Upatnicks. JOSA. 52.pp 1123-1130.

H. Lichte and M. Lehmann. Rept.Prog. Phys.71 (2008)016102.

A. Tonomura. Electron Holography. In Springer Series in Optical Sciences.70. (1999).pp 29-49.

# What are the Limits in Determining Three Dimensional Structure By Electron Microscopy?

## Radiation damage due to the large number of exposures needed

"damage" due to electrus vollisiones. 1, "elastic" No, Eo pure Kirematus from lefre!  $\frac{E}{E_0} = K = \left[ \frac{x \omega_0 + \sqrt{1 - x^2 S m^2 \theta}}{1 + x} \right]^2, \quad X = \frac{M_0}{M} = \frac{Me}{Amp}$ when M=me, M=Amp than X= 1837A = me mpA so some X≪I we get  $\frac{E}{E_0} = 1 - \frac{(1-\omega_0)}{918A}$  or  $\frac{\Delta E}{E_0} (to atom) = \frac{1-\omega_0}{918A}$ : max energy transfered to atom is: DEMAX = 2E0 = 4 mp = E0/mc2 <</ DEmax = ZMe Eo (Eo+2mx2) relativitially

NOTE / energy transferred to atom depends upon the elecentry (timesty my relativitedy)

: the revoil energy to the atom is in general. Eneroil = Emm [sin(8/2)]2 the intual scatt & which results in atomic displacement of the atom is: - energy to desplace atoms SIN(Oc/2) = V EDEP ie of Exemply Exist the ations gets duplaced .: we can get the "crus rections" for desplanement ODISP = S de ZITSMO de POSO = NODT Huchneu and going bank, we can get the threshold energy for an inc. electrons to displace an atten hund Etheshold = mc2 [ (1+ Amp Edup) 12-17 rel, correct W I what plots as to what this means In KO damage by electrons steep threshold then relatively instant

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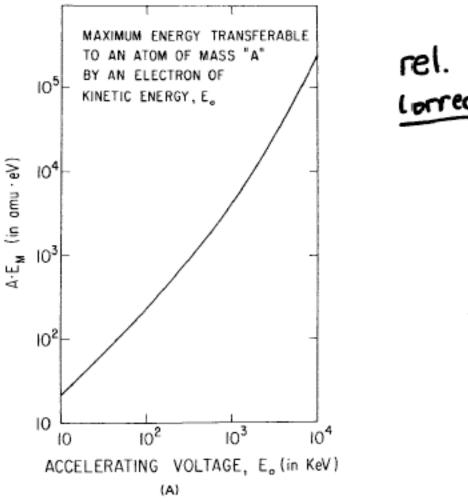


Fig. 1.2 A. The maximum energy which can be transferred by an incident electron of kinetic energy  $E_0$ , in an elastic nuclear collision with an atom of mass A.  $E_{\max} = 2(m/m_pA) \cdot E_0(E_0 + 2mc^2)/mc^2$ , where m is the electron rest mass,  $m_p$  is the proton rest mass and c is the velocity of light  $(M_A = m_p \cdot A)$ . B. The threshold energy of the incident electron,  $E_t$ , necessary just to produce a displacement of an atom of mass A in an elastic nuclear collision. The term  $E_t$  is that energy such that the maximum transferable energy shown in Fig. 1.2A is equal to the displacement energy,  $E_d$ . The arrows indicate the threshold energies for various atoms assuming  $E_d = 1 \text{ eV}$ .

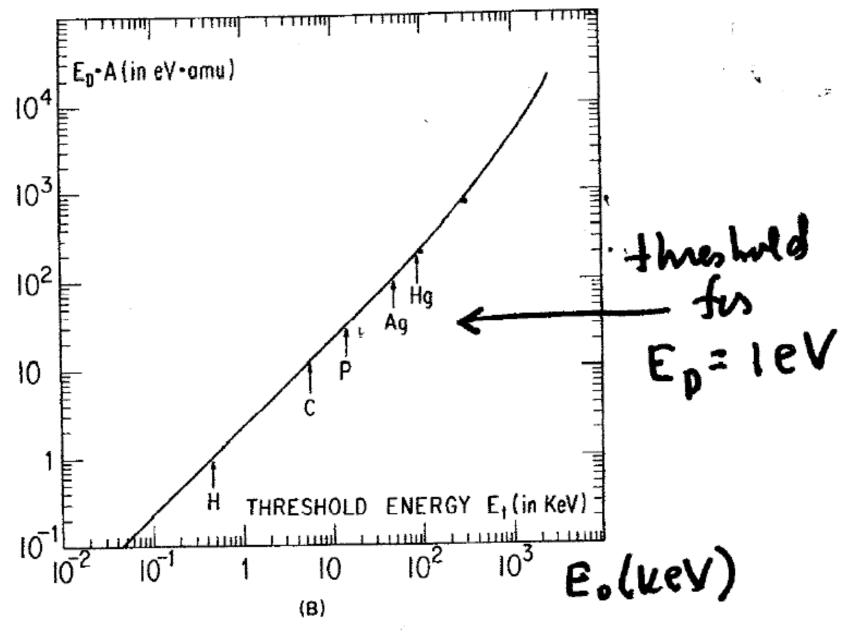


Fig. 1.2 (Continued)

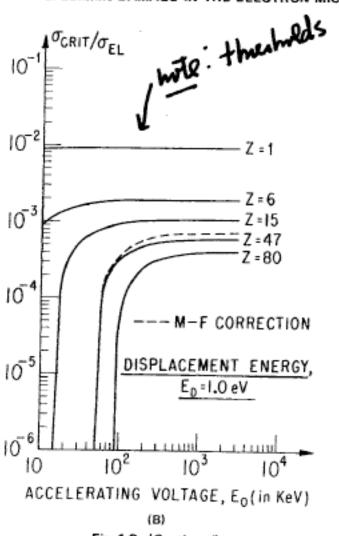
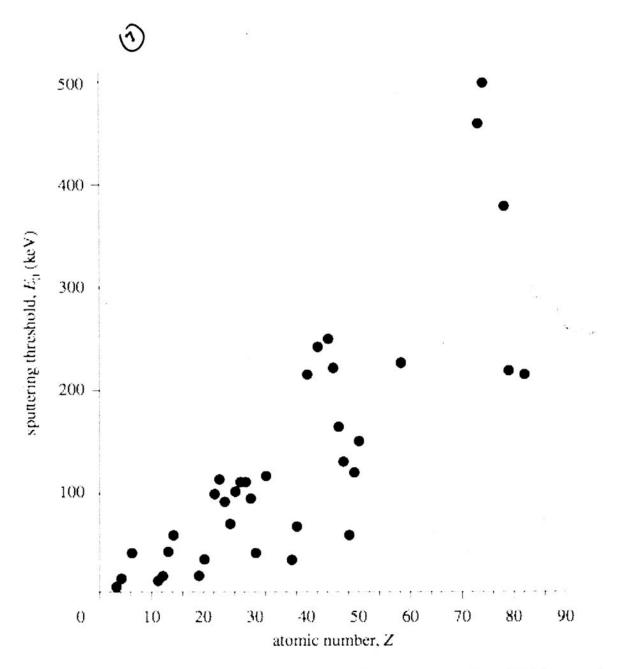


Fig. 1.3 (Continued)

Isaacson, "specimen damage in the electron microscope" in Principles and techniques of electron Microscopy. Vol.8 (ed. M.A. Hayatt.p1-78 (1970). Van

Nostrand-Reinhold, NY



igure 1. Dependence of sputtering threshold on the atomic number (Hobbs 1987).

there is other types of "domage" due to "inelastic events 10, ionizations of events, where we lose elections from the ations thereby breaking a attening bonds.
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ASIDE/ when discussing damage by charged partials are tally along a "door" which is a "charge density" in charge/unit area.

IP, J? = D

conents time

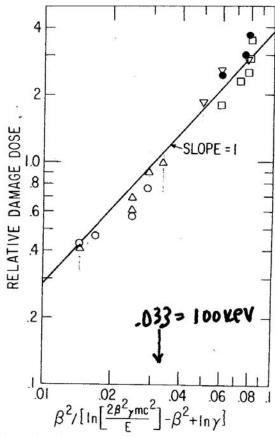
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| violent rearrangement of elections bound can transfer energy to ation -> gets displaced KO these insection



example, Rohrlich and Carlson, 1954) is within a few percent of that given in Eq. (1.18). So it makes little difference with regard to the energy dependence of damage whether one compares experiments with stopping power calculations or the inelastic scattering cross sections.



sparay dependence of electron beam damage. The relative damage dose is

$$\beta = \frac{1}{\sqrt{1-\beta^2}}, \beta = \frac{N}{C}$$

Isaacson, 1970

before Imburg at different "tirms" of damage. Inhat signals needed to wellet information

S=NJ6YF signal rate

want for time T, then

S7 = N(J7) &YF The "dox" D//

D= ST NEYF effecting com xections for # contributing to 11 pml event "Actested"

is if (57)min is the min. It its he need to detect a right area over a bleg them

the mm. due we can use is

| Dmin = \frac{(52)\_{min}}{N6YF} < D\_DAMAGE want to "dectroy" H

object

: the fever atoms/molecules detuted, the higher the dore needed to detut them //



Table 1 Electron beam irradiation damage \*\*):  $E_0 = 100$  keV, room temperature

Observation	Dose (electrons $/A^2$ )	Material
Diffraction	<1	Nitrocellulose
	1-10	Aliphatics
	$10^{2}-10^{4}$	Aromatics
	1-10 <sup>6</sup>	Metal halides
Mass loss	1-103	Organics
	1-106	Metal halides
	10-106	Fluorine desorption
	$10^{3} - 10^{9}$	Oxygen desorption
EELS change	10 - 1	PMMA
	= 10	Aliphatics
	$1-10^3$	Metal halides
	10 <sup>3</sup> -10 <sup>10</sup>	Oxides

Data from this table come from the reviews in refs. [2,3], as well as from other articles in the literature (e.g., refs. [4,6,10,11,13,18]). The doses have been scaled to correspond to an incident electron energy of 100 keV. I have not listed dose rate or ambient conditions, since in most cases these were not reported.

what a typical organic material damage. L-hist/amino and. - xtallenty/Dye = 144/1844. Select A - maus/Dije = 5 elec/A - energy loss (amount my) Die = 23 elec/ 22 (25 keV) whereas for monergance materials the dores may be many order of may greater. eg atom imaging winditions wild result in  $J \sim \frac{1}{2} \times 10^{-10} \text{ amps/$^2$ sec}$ ~ 3X10 elec/sec A2 -> with 4 use/pixel -> 1200 ele /2/ and still see no "endeme" of damage.

mure there may be dox-rate effects; is not total dox but rate as more unquitant! — se example LiF

sometimes damage correlated with

"Impations" damage (which consessett in equiv to ignitery),
or direct himskurs //

damage us very material dependent —

y KO damage is a problem => image at I rue inc. energies

Show (and

1<sup>ST</sup> lets Inh at the "dises" for damage 2ND lets see hour to reduce that effect.

- 1. different "types" of a beam induced damage.
   loss of crystallinity (Irong range order) -
  - loss of mass (10, sputtering by Ko or singatur)
  - local itruitural desorder -

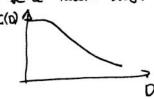
each use has a different characteristic

or or rather how the damage proceeds with inneasing dur

- in a lingle but model (18, are event damages) the damage is an exponential decay with dise

ie 
$$\frac{I(t)}{I_0} = e^{-D/\Omega_{te}}$$
, when  $D=Jt$ 

- if more than we event as required to damage, there wild be a "latent" dose



- m extending, immediates one refers to "endpt" dose, Dep where Dep wild be (2-5) X Dire the main pt as Dep > Dire > Diatent

- D's can vary by orders of magnitude

SHOW

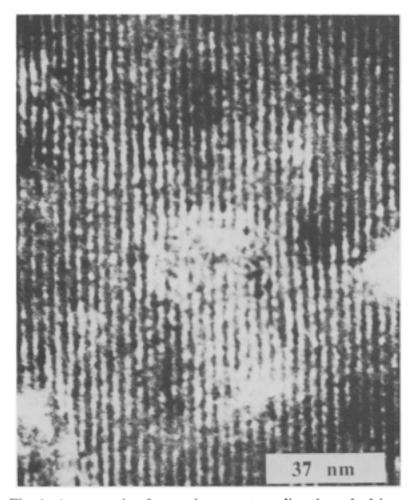


Fig. 1. An example of a grating structure directly etched into lithium fluoride by a 100 keV electron beam using a dose of  $10^{-2}$  C/cm<sup>2</sup>. The dose rate was about  $5 \times 10^5$  A/cm<sup>2</sup>, the sample was at 30 °C and the vacuum in the sample chamber was  $8 \times 10^{-10}$  Torr. The grating periodicity is 3.7 nm. Such a structure cannot be produced with dose rates less than  $10^4$  A/cm<sup>2</sup>.

NOTE .

1. spatial averaging methods

- ie, unelations techniques to "average" individual structures

- xtal strutures whereby you reved image 3 diff- pattern

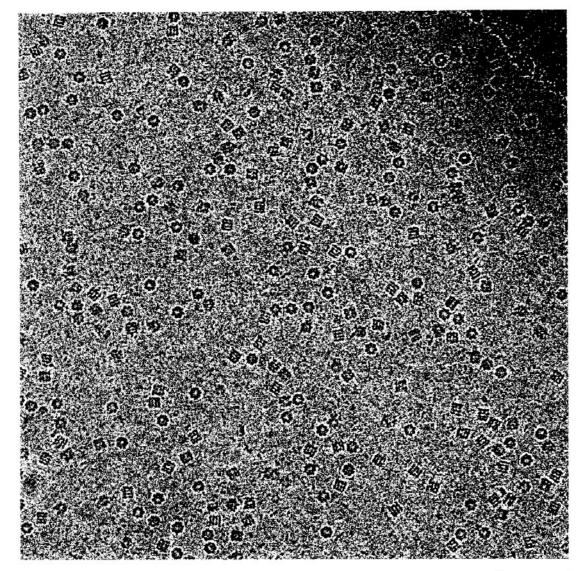
- giving you may and phase. (can use noisy images).

for 610 structe usually need 2. cyotemps. dox <5-1000

- slow down thermal motion variable - prevent vaguryation effects - forzen in plane

3. "protestants" (for birliqual samples)

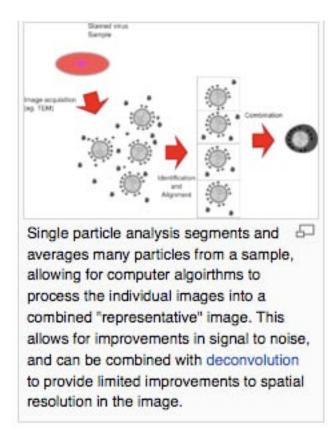
4. Inverthe evergy of INC. beam
— if KO is a problem
a balancing act letween
KO and impatrix



at emptemps

GroEL / a chaperonin - found in bacteria
Vossmann, 2008

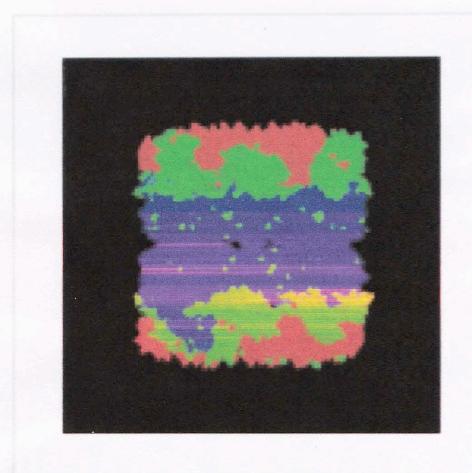
Size - nder 15 mm/

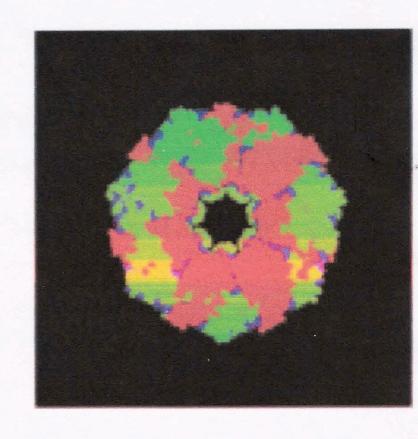


van Heel M, Gowen B, Matadeen R, Orlova EV, Finn R, Pape T, Cohen D, Stark H, Schmidt R, Schatz M, Patwardhan A (2000)
 "Single-particle electron cryo-microscopy: towards atomic resolution.". Q Rev Biophys. 33: 307–69.

## Tomographic reconstruction







GroEL (side)

GroEL (top)

KN15NM-X