

**EE213. Microscopic Nanocharacterization of Materials
Spring 2016**

Lecture 3

Tentative Outline

- Week 1: Introduction: What is Micro/Nano Characterization?
- Week 2: Electron Beam Induced Excitation Methods
- A. Reflection Scanning Electron Microscopy
 - B. Auger Electron Microscopy/Spectroscopy
 - C. Electron Beam Induced X-Ray Analysis
 - D. Electron Energy Loss Spectroscopy
 - E. Transmission Electron Microscopy
 - a. Scanning Transmission Electron Microscopy (STEM)
 - b. Conventional Transmission Electron Microscopy (TEM)
 - c. Energy Filtered Electron Microscopy

5

interactions

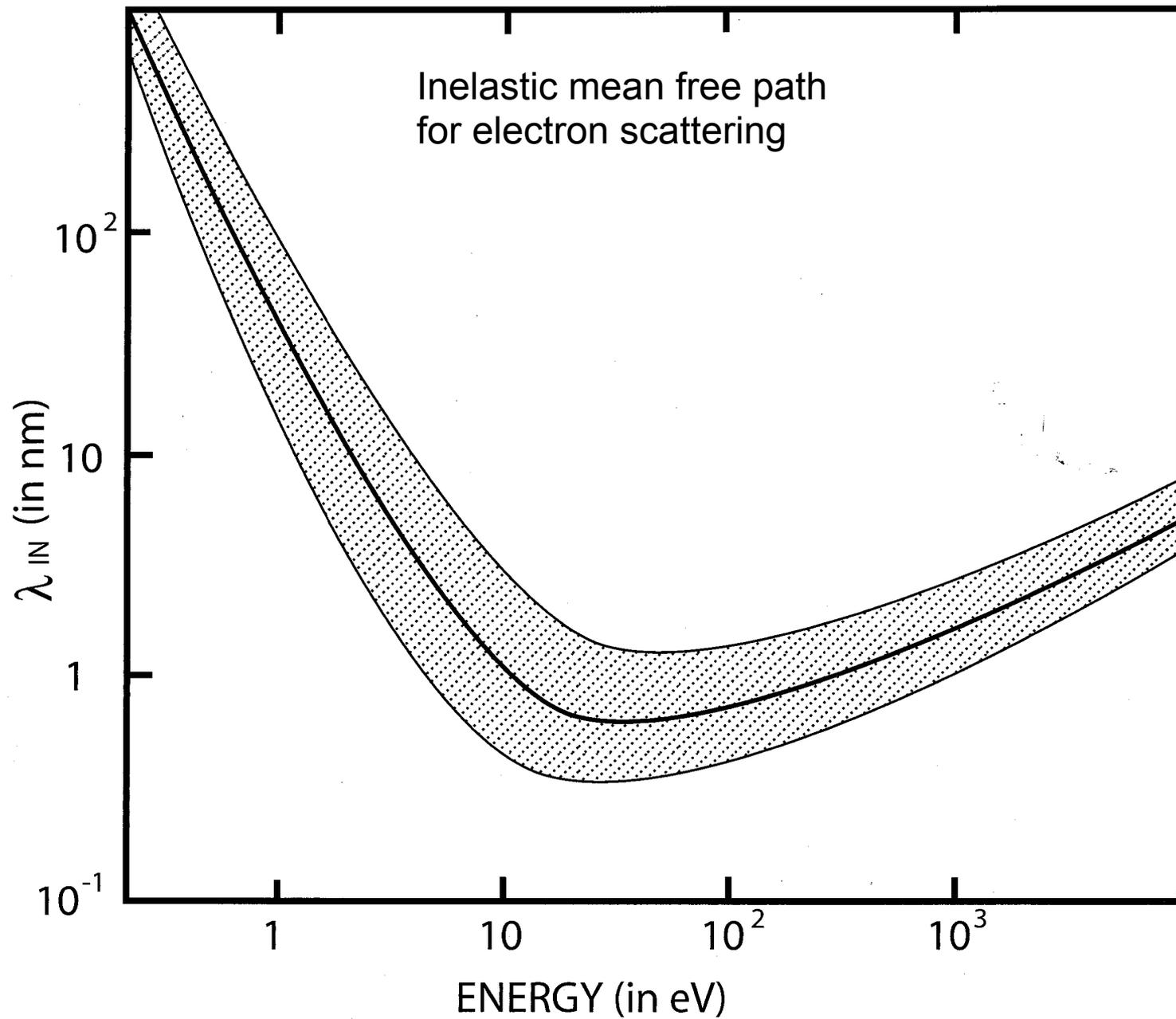
prob, $P = \sigma n dx$

$$dJ = -JP = -J\sigma n dx$$

$$\int_0^x \frac{dJ}{J} = -\int_0^x \sigma n dx$$

$$J(x)/J(0) = e^{-\underbrace{n\sigma \cdot x}_{\Lambda = \frac{1}{n\sigma}, \text{ mean free path}}}$$

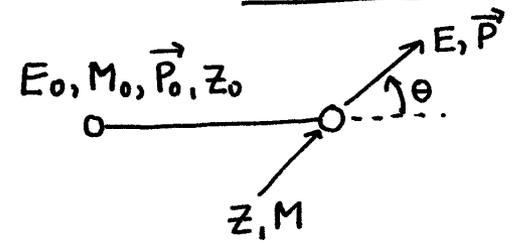
mfp = avg. dist. between interactions



From Seah and Dench, 1979. Surf. and Interface Anal.1.36

Rutherford Scattering (Coulomb scatt)

Phil. Mag. 21. 669 (1911)



$$\frac{d\sigma}{d\Omega} \propto \frac{(ez_0)^2 (ez)^2}{E_0^2} \left[\frac{4(\cos\theta + \sqrt{1-x^2\sin^2\theta})^2}{\sin^4\theta \sqrt{1-x^2\sin^2\theta}} \right]$$

where $x = M_0/M$ same for RBS

for electrons $x \ll 1$ and $z_0=1$

$$\therefore \frac{d\sigma}{d\Omega} \propto \frac{e^4 z^2}{E_0^2} \frac{1}{\sin^4(\theta/z)}$$

OK for larger θ

for electrons / Ruth Scatt \sim elastic
ie, virtually no energy loss -

$$\Delta E_{MAX} \cong \frac{4m_e}{M} E_0$$

max. energy that can be transferred in collision

$\frac{m_e}{m_p} = 5.46 \times 10^{-4}$ eg, 100keV electrons
iron, $A=55.8$ amu
 $\therefore \Delta E_{MAX} \cong 3.9$ eV

Correction to "Rutherford" scattering

when incident e^- comes close to nucleus,
Ruth. scatt. ok \Rightarrow probability $\propto Z^2$.

BUT, if e^- not so close,

nuclear charge "shielded" by atomic electrons
so incident e^- doesn't see full Z

but a $Z_{\text{EFF}} < Z$.

this means potential is not $V(r) \propto \frac{Ze^2}{r}$, Coulomb
but rather more like $\frac{Ze^2}{r} e^{-r/a}$

Z pt. changes

where $Ze^{-r/a}$ is like an effective nuclear charge,
and a = "screening" radius

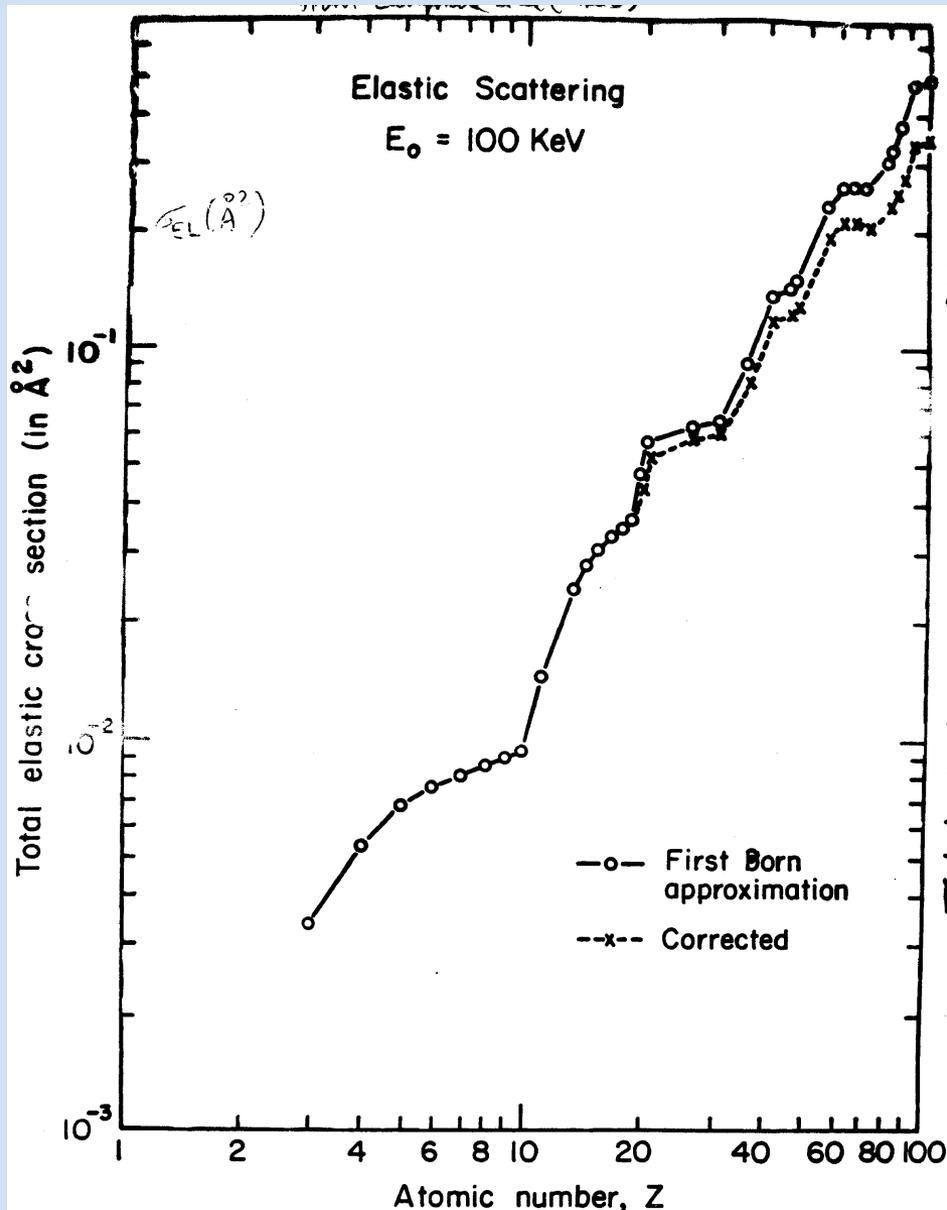
there are different atomic models which take
this into account.

analytic ones are approximations

1930's Lenz-Wentzel / $a = a_0 Z^{-1/3}$

1970's more accurate / $a = 0.9 a_0 Z^{-1/4}$

both show $a \downarrow$ as $Z \uparrow$



incident momentum

\vec{P}_0

$P_{||}$

P_{\perp}

$\hbar\vec{Q}$

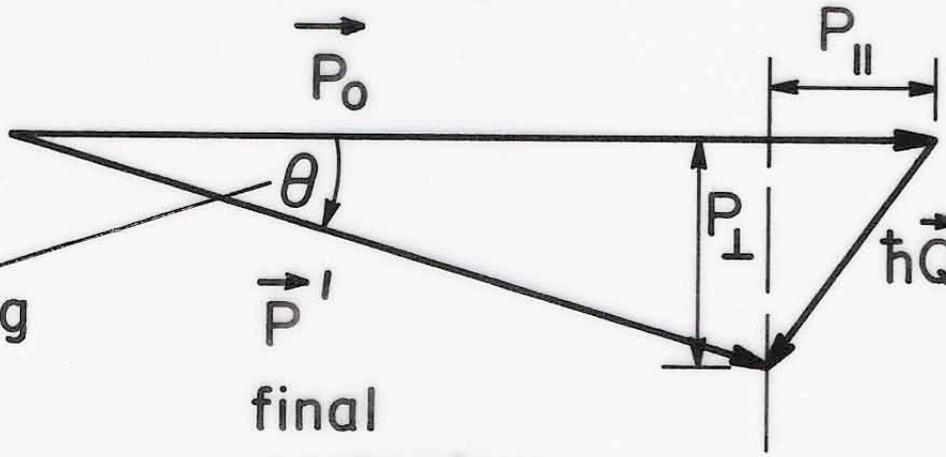
momentum transfer

scattering angle

\vec{P}'

final momentum

θ



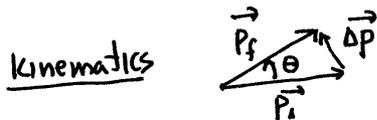
inelastic scattering

elec \rightarrow elec / Z electrons in atom

$$\therefore \frac{d\sigma}{d\Omega} \rightarrow \sum_{i=1}^Z \frac{(e^2)(e^2)}{\text{same stuff}} \rightarrow \frac{e^4 Z}{\text{stuff}}$$

when you go through details
not quite free electrons -

$$\text{wind up with } \frac{d^2\sigma}{dE d\Omega} \propto \frac{1}{(\Delta p)^2}$$



$$\Delta p = \Delta q = [P_f^2 + P_i^2 - 2P_i P_f \cos\theta]^{1/2}$$

for small energy loss, $\Delta E \ll E_{inc}$
and small angles ($< 20^\circ$)

$$\text{we get } \Delta E \approx \frac{P_i}{m} (P_i - P_f)$$

min. momentum transferred ($\theta = 0$)

$$\Delta p_{min} = P_i - P_f \approx \frac{m \Delta E}{P_i} = \frac{\Delta E}{v_i}$$

so for small θ , we write

$$\Delta p \approx \left[\underbrace{(P_i - P_f)^2}_{\Delta E / v_i} + P_i P_f \theta^2 \right]^{1/2} = P_i \left[\left(\frac{\Delta E}{P_i v_i} \right)^2 + \theta^2 \right]^{1/2}$$

$P_i \sim P_f$

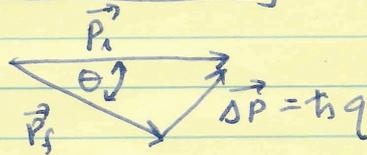
$\theta_E = \frac{\Delta E}{P v}$ characteristic inelastic scatt α

take $\Delta E = 50 \text{ eV}$
 $E = 100 \text{ keV}$

$$\theta_E \approx \frac{\Delta E}{2E} = \frac{1}{4} \text{ mrad}$$

$$\therefore \frac{d\sigma}{d\Omega} \rightarrow \frac{1}{\theta^2 + \theta_E^2}$$

Inelastic scattering ($1e, e^- \rightarrow e^-$) 1.



$$\Delta p = \hbar q = [P_f^2 + P_i^2 - 2P_f P_i \cos \theta]^{1/2}, \quad \vec{P}_i = \vec{P}_0$$

for small angles, $\theta \approx 10-20^\circ$
 $\cos \theta = 1 - \frac{1}{2!} \theta^2 + \dots$

$$\therefore \Delta p = [P_f^2 + P_i^2 - 2P_f P_f (1 - \theta^2/2)]^{1/2}$$

$$\Delta p = [(P_i - P_f)^2 + P_i P_f \theta^2]^{1/2}$$

for small $\Delta E \ll E_i$ energy loss.

$$E_i \approx P_i^2 / 2m_e \quad \text{non-rel.}$$

$$E_f \approx \frac{P_f^2}{2m_e} - \Delta E$$

$$\therefore P_i = \sqrt{2m_e E_i} \quad \text{non-rel. (if } E_i = \frac{P_i^2}{2m} \text{)}$$

$$P_f = \sqrt{2m(E_i - \Delta E)} = \sqrt{2m E_i} \left[1 - \frac{\Delta E}{E_i}\right]^{1/2}$$

$$\therefore P_f = P_i \sqrt{1 - \frac{\Delta E}{E_i}} \approx P_i \left(1 - \frac{1}{2} \frac{\Delta E}{E_i} \dots\right) = P_f$$

$$\therefore P_i - P_f \approx \frac{1}{2} P_i \frac{\Delta E}{E_i} = \frac{1}{2} P_i \Delta E \left(\frac{2m}{P_i^2}\right) = \frac{m}{P_i} \Delta E //$$

Inelastic scattering. 2 (cont)

$$\therefore \Delta E = \frac{P_i}{m} (P_i - P_f)$$

$$\Delta P = [(P_i - P_f)^2 + P_i P_f \theta^2]^{1/2}$$

ΔP_{MIN} when $\theta = 0 \Rightarrow \Delta P_{\text{min}} = P_i - P_f$
we lose momentum even if $\theta = 0$!!

$$\therefore \Delta P_{\text{min}} = \frac{m}{P_i} \Delta E \quad \text{. min } P_i \approx P_f \text{ to 1st order}$$

ie $P_i P_f \cong P^2$

$$\therefore \Delta P = \left[\left(\frac{m \Delta E}{P_i} \right)^2 + P_i P_f \theta^2 \right]^{1/2}$$

$$\approx \left[\left(\frac{\Delta E}{v_i} \right)^2 + P_i P_f \theta^2 \right]^{1/2}$$

$$\Delta P \approx P \left[\left(\frac{\Delta E}{P v} \right)^2 + \theta^2 \right]^{1/2}$$

$$\Delta P \approx P (\theta_E^2 + \theta^2)^{1/2} \quad \text{where } \theta_E = \frac{\Delta E}{P v}$$

the characteristic
inelastic scatt. χ

inelastic scattering - 3 (cont)

now $\frac{d\sigma}{d\Omega} \propto \frac{Z^2}{q^4}$, pure Rutherford Scattering

more generally, $Z^2 \Rightarrow |F(q)|^2$ where $q = k_i - k_f$
to account for "screening" of nuclear charge
by atomic electrons.

"quantum mechanically", the scattered wave function

$$\psi(r) = \psi_0 \left[e^{i\vec{q} \cdot \vec{r}} + f(\vec{q}) \frac{e^{i\vec{q}' \cdot \vec{r}}}{r} \right]$$

↑ incident wave
 ↑ scattered wave

where $|f(\vec{q})|^2 = \frac{d\sigma}{d\Omega} //$

and $f(q) \propto \frac{1}{q^2} F(q)$, $F(q) = Z - F_x(q)$
scat. factor for X rays

remembering that $\vec{q} = \vec{k}_i - \vec{k}_f$ (FT of atomic charge distribution)

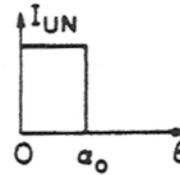
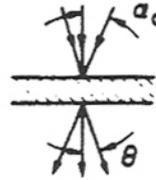
then $\frac{d\sigma}{d\Omega} \propto \frac{|F(q)|^2}{q^4} = \frac{|f(q)|^2}{q^2}$

for "inelastic" scatt: $f(q) \rightarrow |f_{in}|$

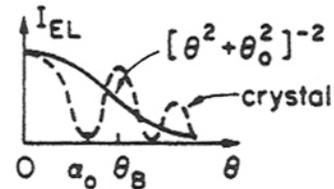
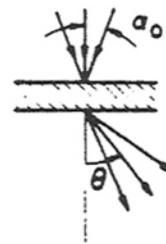
$\therefore \frac{d}{dE} \left(\frac{d\sigma_{in}}{d\Omega} \right) \propto \frac{1}{q^2} \frac{1}{dE} (|f_{in}|)^2 = \frac{1}{E} \frac{df}{dE}$
 where $\int_0^\infty \frac{df}{dE} dE = Z$
 $\therefore \frac{d^2\sigma_{in}}{dE d\Omega} \propto \frac{1}{q^2} \frac{1}{E} \frac{df}{dE} \propto (\theta^2 + \theta_E^2)^{-3} \equiv$

SCATTERING MECHANISMS FOR CHARACTERIZATION

1. UNSCATTERED
 $\Delta E = 0, \Delta P = 0$



2. ELASTICALLY
 SCATTERED
 $\Delta E \approx 0$
 $\sigma_{EL} \sim Z^{3/2}$
 $\theta_0 \sim \lambda / 2\pi a \approx \theta_B$

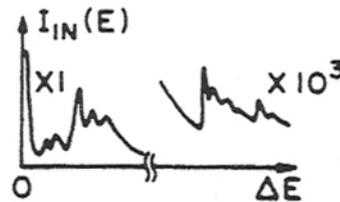
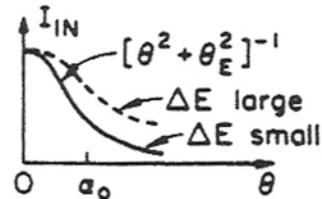


3. INELASTICALLY
 SCATTERED

$$\theta_E \approx \frac{\Delta E}{P_0 V_0}$$

$$\sigma_{IN} \sim Z^{1/2}$$

$$\frac{d\sigma_{IN}}{dE} \text{ material specific}$$



inelastic cross-sections. 1

$$\frac{d^2\sigma_{in}}{dE d\Omega} \propto \frac{1}{q^2} \frac{1}{E} \frac{df}{dE}$$

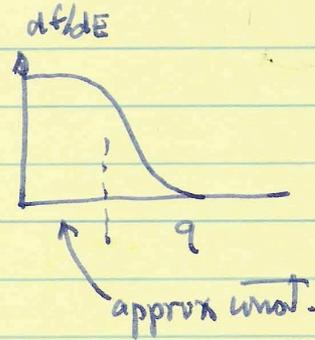
$$tq = [P_i^2 + P_f^2 - 2P_i P_f \cos\theta]^{1/2}$$

$$dq = P_i P_f \sin\theta d\theta / t^2 q$$

$$d\Omega = 2\pi \sin\theta d\theta$$

$$\therefore \boxed{dq = \frac{P_i P_f}{2\pi t^2} \frac{d\Omega}{q}}$$

$$\therefore \frac{d^2\sigma_{in}}{dE dq} \propto \frac{1}{P_i^2} \frac{1}{q} \left[\frac{1}{E} \frac{df}{dE} \right]$$



$$\frac{d\sigma_{in}}{dE} \propto \frac{1}{P_i^2} \int_{q_{min}}^{q_{max}} \frac{1}{q} \frac{1}{E} \frac{df}{dE} dq$$

$$\therefore \frac{d\sigma_{in}}{dE} \propto \frac{1}{P_i^2} \frac{1}{E} \left(\frac{df}{dE} \right)_{avg} \int_{q_{min}}^{q_{max}} \frac{dq}{q}$$

inelastic cross-sections 2 (cont)

$$\text{non-rel } \Delta E = E = \frac{P^2}{2m} \text{ free electron}$$

$$\therefore \Delta p_{\text{max}} = \sqrt{2mE} \text{ where } E = \Delta E \text{ energy loss}$$

$$\therefore \boxed{t_{9\text{max}} = \sqrt{2mE}}$$

$$t_{9\text{min}} = \frac{E}{v_A} \text{ from before / } v_A = \sqrt{\frac{2E_i}{m}} \text{ non-rel.}$$

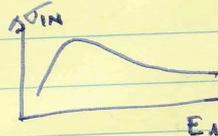
$$\therefore \boxed{t_{9\text{min}} = E \sqrt{\frac{m}{2E_i}}}$$

$$\int_{q_{\text{min}}}^{q_{\text{max}}} \frac{dq}{q} = \left[\ln \left(\frac{q_{\text{max}}}{q_{\text{min}}} \right) \right] = \left[\ln \left(\frac{\sqrt{2mE}}{E \sqrt{\frac{m}{2E_i}}} \right) \right]$$
$$= \left[\ln \left(\sqrt{\frac{4E_i}{E}} \right) \right] = \frac{1}{2} \ln \left(\frac{4E_i}{E} \right)$$

$$\therefore \boxed{\frac{d\sigma_{\text{in}}}{dE} \propto \frac{1}{P_i^2} \frac{1}{E} \left(\frac{df}{dE} \right)_{\text{non-rel}} \ln \left(\frac{4E_i}{E} \right)} \text{ Bethe cross-section}$$

$$\sigma_{\text{in}} \propto \int_{E_{\text{min}}}^{E_i} \frac{1}{E} \left(\frac{df}{dE} \right)_{\text{non-rel}} \ln \left(\frac{4E_i}{E} \right) dE$$

$$\boxed{\sigma_{\text{in}} \propto \frac{Z_{\text{in}}}{E_i} \frac{b_{\text{in}}}{E_{\text{end}}} \ln \left(\frac{C_{\text{in}} E_i}{E_{\text{end}}} \right)}$$



Inelastic cross-sections. 3

define $U_{nl} = \frac{E_i}{E_{nl}}$ over wltap
→ binding energy of n, l shell.

$$\text{then } \sigma_{nl} \propto \frac{Z_{nl} b_{nl}}{E_i E_{nl}} \ln\left(\frac{U_{nl} E_i}{E_{nl}}\right)$$

$$\sigma_{nl} \propto \frac{Z_{nl} b_{nl}}{U_{nl} E_{nl}^2} \ln(U_{nl} U_{nl})$$

$$\boxed{\sigma_{nl} E_{nl}^2 \propto \frac{Z_{nl} b_{nl}}{U_{nl}} \ln(U_{nl} U_{nl})}$$

Inelastic Cross-Sections. 4 (cont)

$$\sigma_{in} E_{in}^2 \propto \frac{Z_{in} b_{in}}{U_{in}} \ln(U_{in}), \text{ non-relativistic}$$

$$\text{where } U_{in} = E_i / E_{in}$$

$$\therefore \boxed{\sigma_{in} = \left(\frac{h^2 R}{2Dm} \right) \frac{Z_{in} b_{in}}{E_i E_{in}} \ln \left(\frac{U_{in} E_i}{E_{in}} \right)} \quad \begin{array}{l} R = 13.6 \text{ eV} \\ (\text{Rydberg}) \end{array}$$

relativistically correct becomes:

$$\sigma_{in} = \left(\frac{h^2 R}{2Dm} \right) \left[\frac{Z_{in} b_{in}}{E_i E_{in}} \right] \left\{ \left(1 + \frac{E_i}{mc^2} \right)^2 \ln \left(\frac{U_{in} E_i^*}{E_{in}} \right) - \frac{2E_i^*}{mc^2} \right\}$$

$$\text{where } E_i^* = E_i \left(1 + \frac{1}{2} \frac{E_i}{mc^2} \right), \text{ rel. corrected kinetic energy}$$

go back to non-rel. for simplicity —

to get the "total" inelastic cross-sections
(R integrate over all electrons, nZ)

$$\text{start with } \frac{d\sigma}{dE} = \left(\frac{h^2 R}{2Dm} \right) \frac{1}{E_i E} \left(\frac{df}{dE} \right)_{\text{avg}} \ln \left(\frac{4E_i}{E} \right)$$

$$\sigma_{in} = \left(\frac{h^2 R}{2Dm} \right) \frac{1}{E_i} \int_{E_i}^{E_1} \frac{1}{E} \left(\frac{df}{dE} \right)_{\text{avg}} \ln \left(\frac{4E_i}{E} \right) dE$$

$$\left\langle \frac{h^2 R}{2Dm} \right\rangle = 65 \text{ eV} \cdot \text{\AA}^2$$

Inelastic Cross-sections .5 (cont)

\therefore we make the approximations that we just use averaged values of $\frac{1}{E} \left(\frac{df}{dE} \right)_{AVG}$ and average of $\ln\left(\frac{4E_i}{E}\right)$ over the energy integration

$$\therefore \sigma_{inel} \cong \text{const.} \times \left[\int \frac{1}{E} \frac{df}{dE} dE \right]_{AVG} \times \left[\ln\left(\frac{4E_i}{E}\right) \right]_{AVG}$$

this gives:

$$\sigma_{inel} \cong \frac{3}{4} \left(\frac{h^2 R}{2\pi m} \right) \frac{\sqrt{E}}{R E_i} \ln\left(\frac{4E_i}{E}\right)$$

$$\text{with } \bar{E} \cong 12.3 \sqrt{Z} \text{ meV}$$

$$\therefore \sigma_{inel} \cong 35.9 \frac{\sqrt{E}}{E_i} \ln\left(\frac{4E_i}{E}\right) \text{ in } \overset{02}{\text{Å}}^2$$

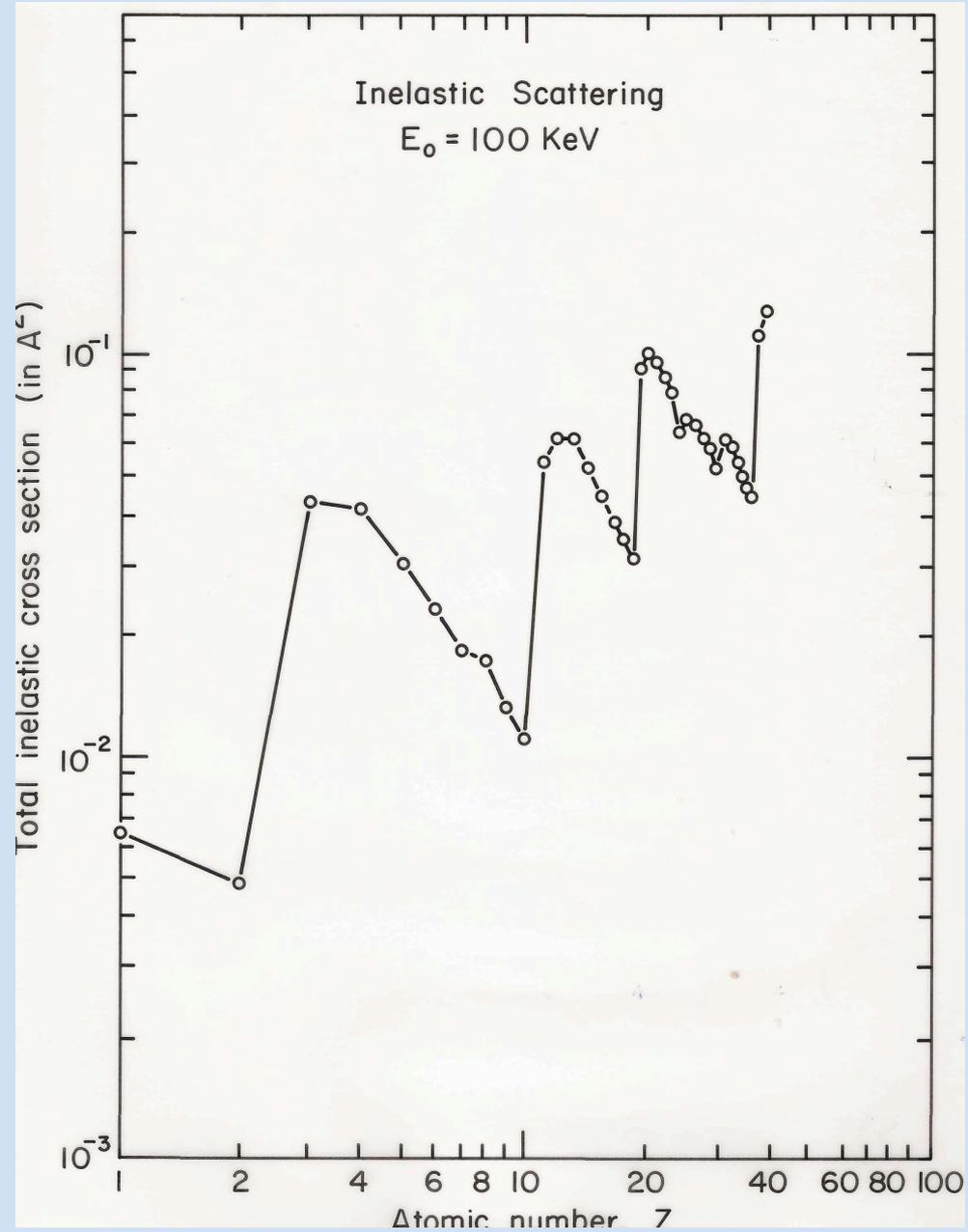
if \bar{E}, E_i meV

handy-dandy expression for inel sects.

$$\text{and } \Lambda_{in} = \frac{1}{n \sigma_{inel}} = \frac{\bar{E}_i}{35.9 n \sqrt{Z} \ln\left(\frac{4E_i}{E}\right)} = \Lambda_{in} \text{ (in } \overset{02}{\text{Å}})$$

$n = \# \text{ density in } \overset{03}{\text{Å}}^{-3}$

< note the shapes >



From Inokuti, et.al.
Phys. Rev.A.23,
95-109 (1980)

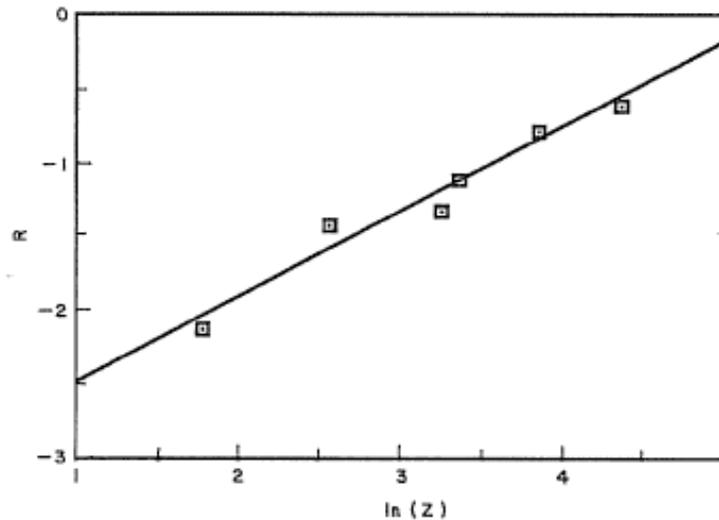
Electron Inelastic scattering cross-sections in solids (experimental)

Table 2. Experimental total inelastic cross-sections together with theoretical data: W, eqn. (5), HS, eqn. (7), FE, eqn. (4) and I, eqn. (6). The cross-sections are in units of 10 cm^2 .

Atomic number	Total cross-section (this work)	W	HS	FE	I
6	1.11 ± 0.03	2.50	2.32	1.24	1.35
13	2.03 ± 0.05	2.96	6.11	1.49	1.82
26	2.05 ± 0.15	3.43	6.76	1.71	2.36
29	2.50 ± 0.07	3.50	4.85	1.40	2.46
47	3.28 ± 0.2	3.85		2.54	2.93
79	3.66 ± 0.2	4.25		3.45	3.53

Simple MSI

Fig. 3



Plot of $R = \ln [\sigma / \ln(2/\theta_E)]$ against $\ln Z$; the gradient of the line of best fit is 0.57.

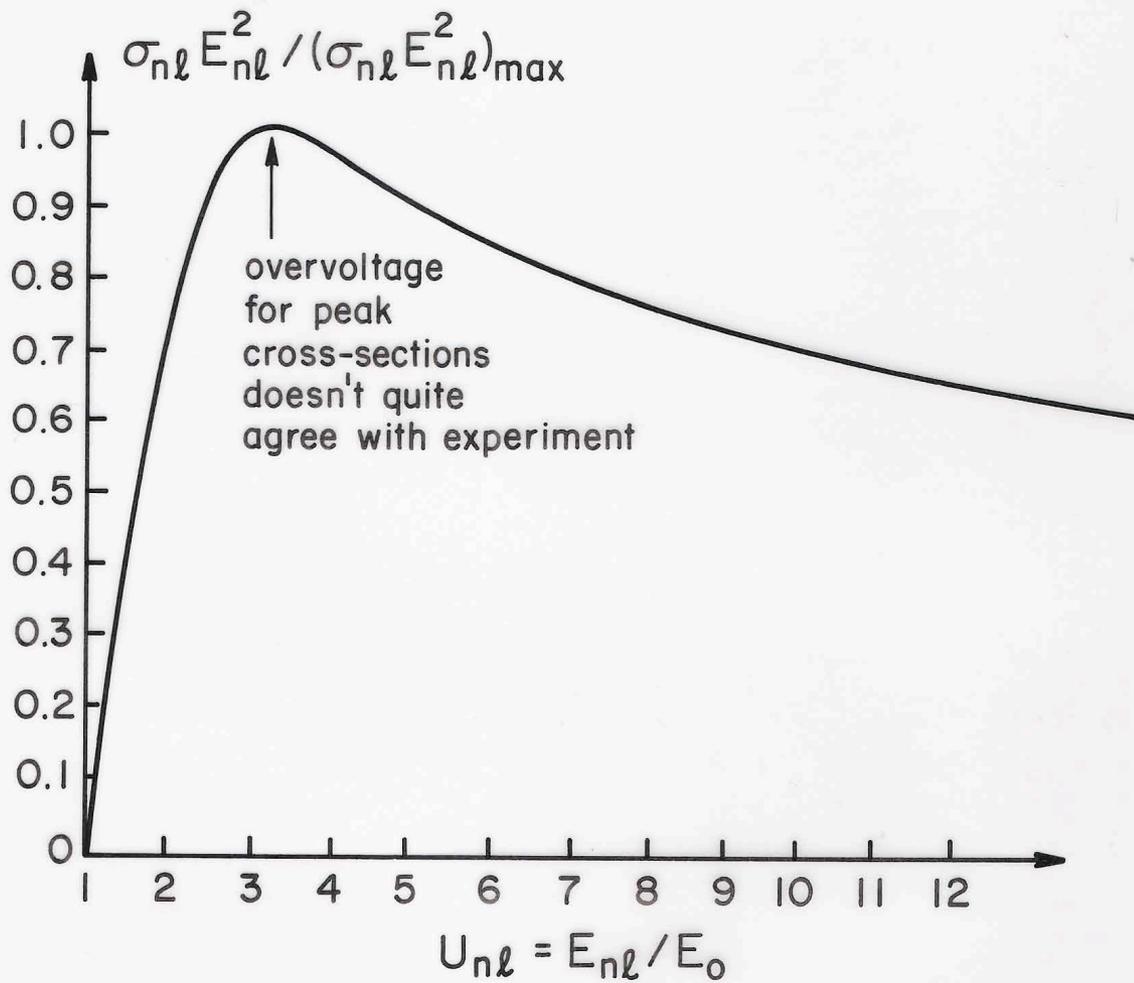
Inelastic cross-sections. 3

define $U_{nl} = \frac{E_i}{E_{nl}}$ over wltap
→ binding energy of n, l shell.

$$\text{then } \sigma_{nl} \propto \frac{Z_{nl} b_{nl}}{E_i E_{nl}} \ln\left(\frac{U_{nl} E_i}{E_{nl}}\right)$$

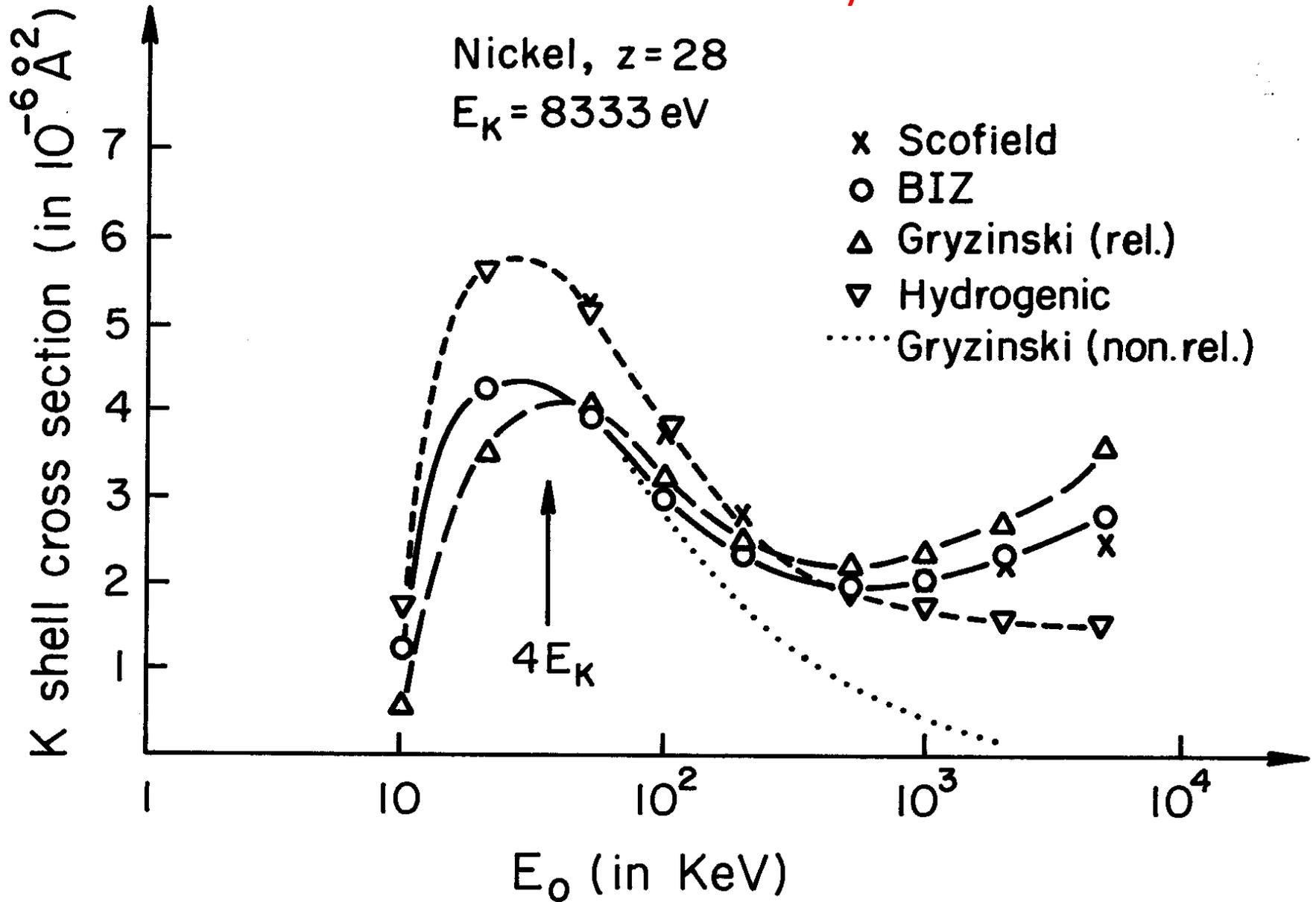
$$\sigma_{nl} \propto \frac{Z_{nl} b_{nl}}{U_{nl} E_{nl}^2} \ln(U_{nl} U_{nl})$$

$$\boxed{\sigma_{nl} E_{nl}^2 \propto \frac{Z_{nl} b_{nl}}{U_{nl}} \ln(U_{nl} U_{nl})}$$



From C.J.Powell, Rev. Mod.Phys. 48.33 (1976)

Calculations of K shell excitation by electrons



Gryzinski Model of Inelastic Scattering

M. Gryzinski. Phy. Rev. A. 138(1965). 336-358.

1. not quantum mechanically correct

semi-classical: inc. electron of energy E_1
collides with orbital electrons of energy E_{n2} .
- no interaction with atomic nucleus.

good to 10-30% of exp. value of energy
lost $\Delta E > E_{n2} \dots$

2. not relativistically correct either.

3. BUT NO FREE parameters

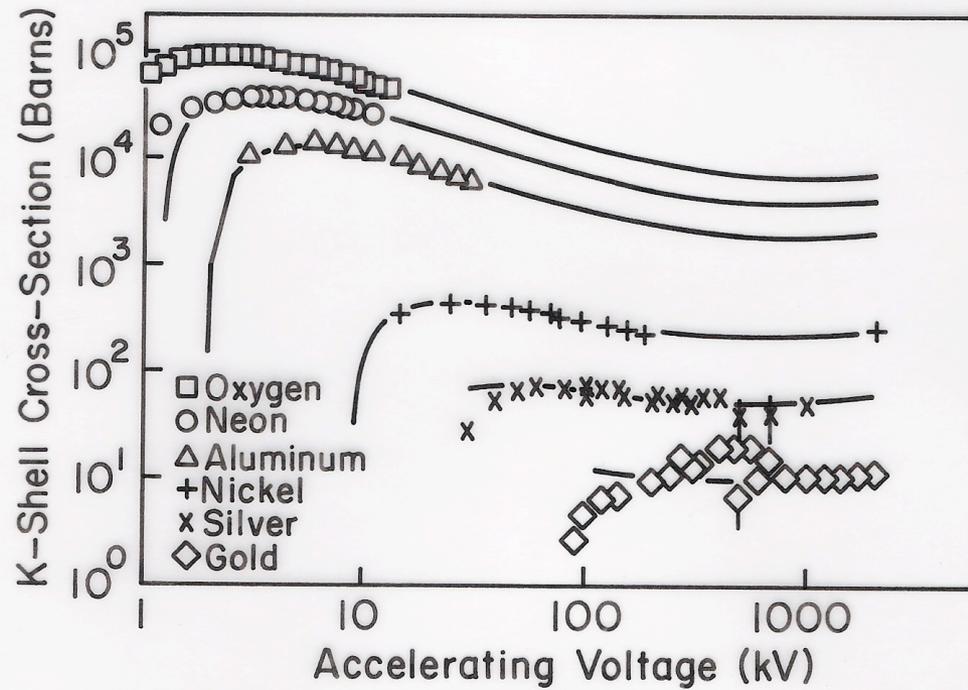
$$\sigma_{n2} = \left[\frac{h^2 R}{2m} \right] \frac{Z_{n2}}{E_{n2}^2} g(u_{n2}) \quad \text{where } u_{n2} = \frac{E_1}{E_{n2}}$$

$$g(u_{n2}) = \frac{1}{u_{n2}} \left[\frac{u_{n2}-1}{u_{n2}+1} \right]^{3/2} \left[1 + \frac{2}{3} \left(1 - \frac{1}{2u_{n2}} \right) \ln(2.7 + \sqrt{u_{n2}-1}) \right]$$

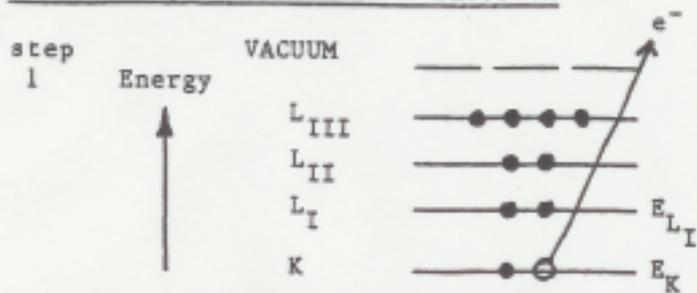
used widely in Monte Carlo simulations of electron
scattering since it is analytic, no free parameters /

K shell excitation cross-sections

Barn = 10^{-24} A²

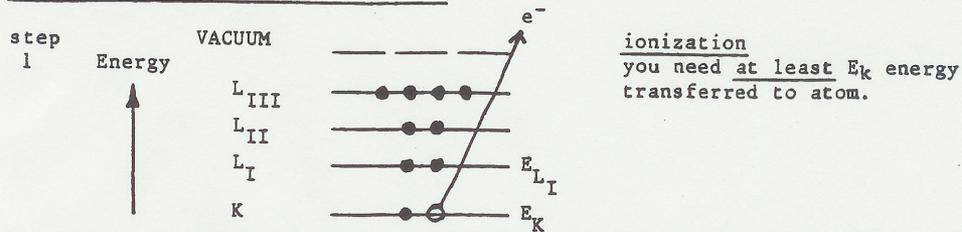


Ionization, Excitation and Emission

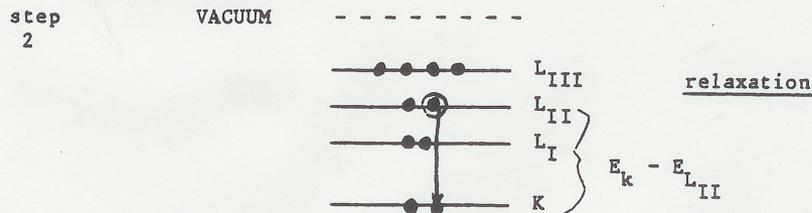


ionization
you need at least E_K energy
transferred to atom.

Ionization, Excitation and Emission

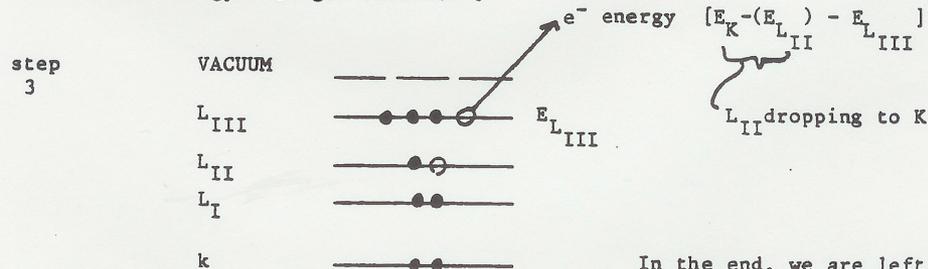


With a hole in the inner shell the atom is energetically unstable. Relaxation occurs by a more outer shell electron filling the hole; e.g., an L_{II} electron depicted below

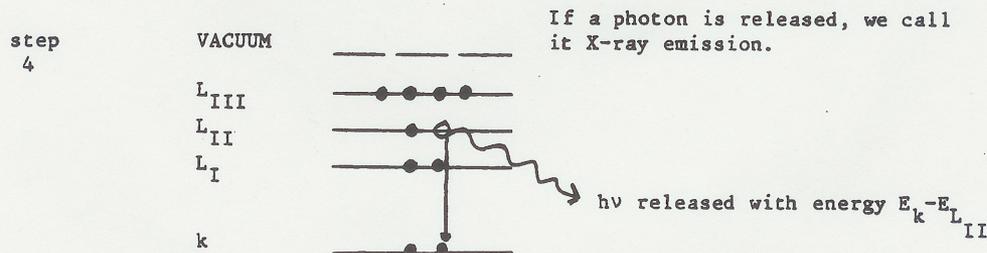


In this step $E_k - E_{L_{II}}$ energy is released. This can be given up either by

releasing a photon (X-ray emission) or given to another electron (either in the same level or one with lower binding energy). If the 2nd electron has sufficient excess kinetic energy it will be ejected into the vacuum where we can measure its energy. [Auger emission.]



In the end, we are left with a doubly ionized atom. This is called Auger emission.

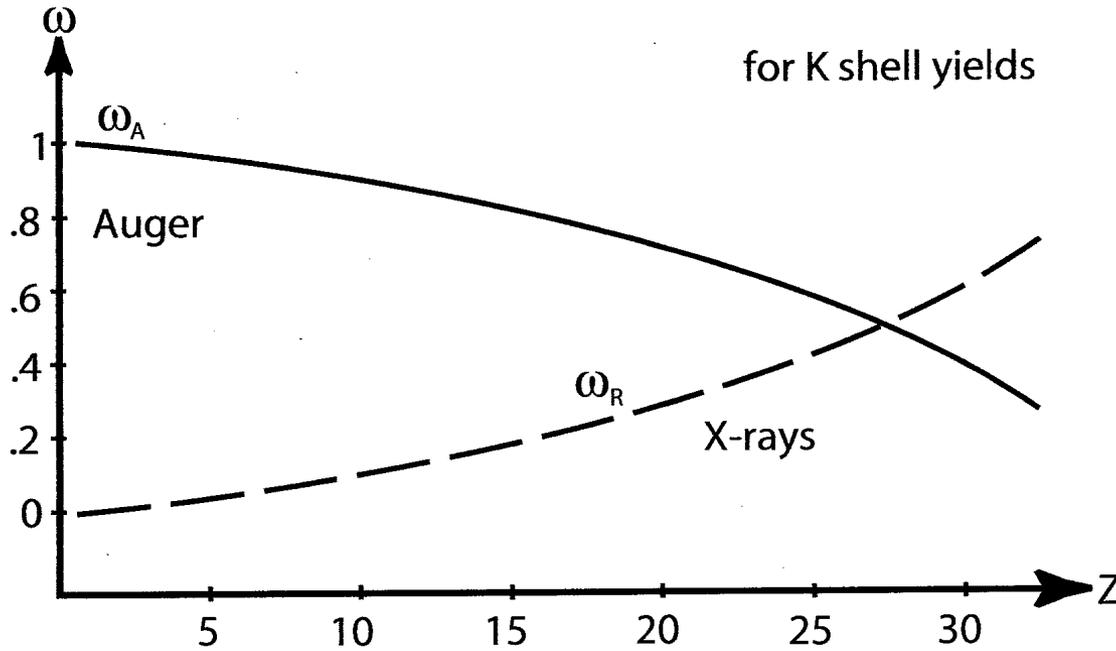


Xray and Auger Electron Yields

$$\omega \approx \frac{Z^4}{Z^4 + b}, \quad b = \begin{cases} 1.12 \times 10^6 & \text{K shell} \\ 6.4 \times 10^7 & \text{L}_3 \text{ shell} \end{cases}$$

Burhop,
Auger Effect
CUP 1952

$$\omega_{\text{X-RAYS}} \approx 1 - \omega_{\text{AUGER}}$$



2. ELECTRON BINDING ENERGIES FOR AES

(eV)

	1s _X	2s _X	2p _X	2p _X	3s _X	3p _X	3p _X	3d _X	3d _X	4s _X	4p _X	4p _X	4d _X	4d _X	4f _X	4f _X	5s _X	5p _X	5p _X	5d _X	5d _X	
	K	L _I	L _{II}	L _{III}	M _I	M _{II}	M _{III}	M _{IV}	M _V	N _I	N _{II}	N _{III}	N _{IV}	N _V	N _{VI}	O _I	O _{II}	O _{III}	O _{IV}	O _V	O _{VI}	
1H	14																					
2He	25																					
3Li	55																					
4Be	111																					
5B	188			5																		
6C	284			7																		
7N	399			9																		
8O	532	24		7																		
9F	686	31		9																		
10Ne	867	45		18																		
11Na	1 072	63		31		1																
12Mg	1 305	89		52		2																
13Al	1 560	118	74		73	1																
14Si	1 839	149	100		99	8	3															
15P	2 149	189	136		135	16	10															
16S	2 472	229	165		164	16	8															
17Cl	2 823	270	202		200	18	7															
18Ar	3 203	320	247		245	25	12															
19K	3 608	377	297		294	34	18															
20Ca	4 038	438	350		347	44	26	5														
21Sc	4 493	500	407		402	54	32	7														
22Ti	4 965	564	461		455	59	34	5														
23V	5 465	628	520		513	66	38	2														
24Cr	5 989	695	584		575	74	43	2														
25Mn	6 539	769	652		641	84	49	4														
26Fe	7 114	846	723		710	95	56	6														
27Co	7 769	926	794		779	101	60	3														
28Ni	8 333	1 008	872		855	112	68	4														
29Cu	8 979	1 096	951		931	120	74	2														
30Zn	9 659	1 194	1 044		1 021	137	87	9														
31Ga	10 367	1 298	1 143		1 116	158	107	18														
32Ge	11 104	1 413	1 249		1 217	181	129	29														
33As	11 867	1 527	1 359		1 323	204	147	41														
34Se	12 658	1 654	1 476		1 436	232	168	57														
35Br	13 474	1 782	1 596		1 550	257	189	69														
36Kr	14 326	1 921	1 727		1 675	289	223	89														
37Rb	15 200	2 065	1 864		1 805	322	248	111														
38Sr	16 105	2 216	2 007		1 940	358	280	133														
39Y	17 039	2 373	2 155		2 080	395	313	158														3
40Zr	17 998	2 532	2 307		2 223	431	345	180														3
41Nb	18 986	2 698	2 465		2 371	469	379	205														4
42Mo	20 000	2 866	2 625		2 520	505	410	227														2
43Tc	21 044	3 042	2 793		2 677	544	445	253														2
44Ru	22 117	3 224	2 967		2 838	585	483	279														2
45Rh	23 220	3 412	3 146		3 004	627	521	307														3

	6s _X	6p _X	6p _X	6d _X	6d _X
	P _I	P _{II}	P _{III}	P _{IV}	P _V
82Pb	3		1		
83Bi	8		3		
84Po	12		5		
85At	18		8		
86Rn	26		11		
87Fr	34		15		
88Ra	44		19		
90Th	60	49	43	2	2

Siegnahn, et.al. ESCA. 1967

Electron Scattering

differences in "efficiency factors", F

1. EELS (look at primary electron)

$$F_e = \eta_{\Delta}^e \cdot \eta_{DE} \cdot \eta_{DET}^e$$

collection solid angle energy window detector efficiency

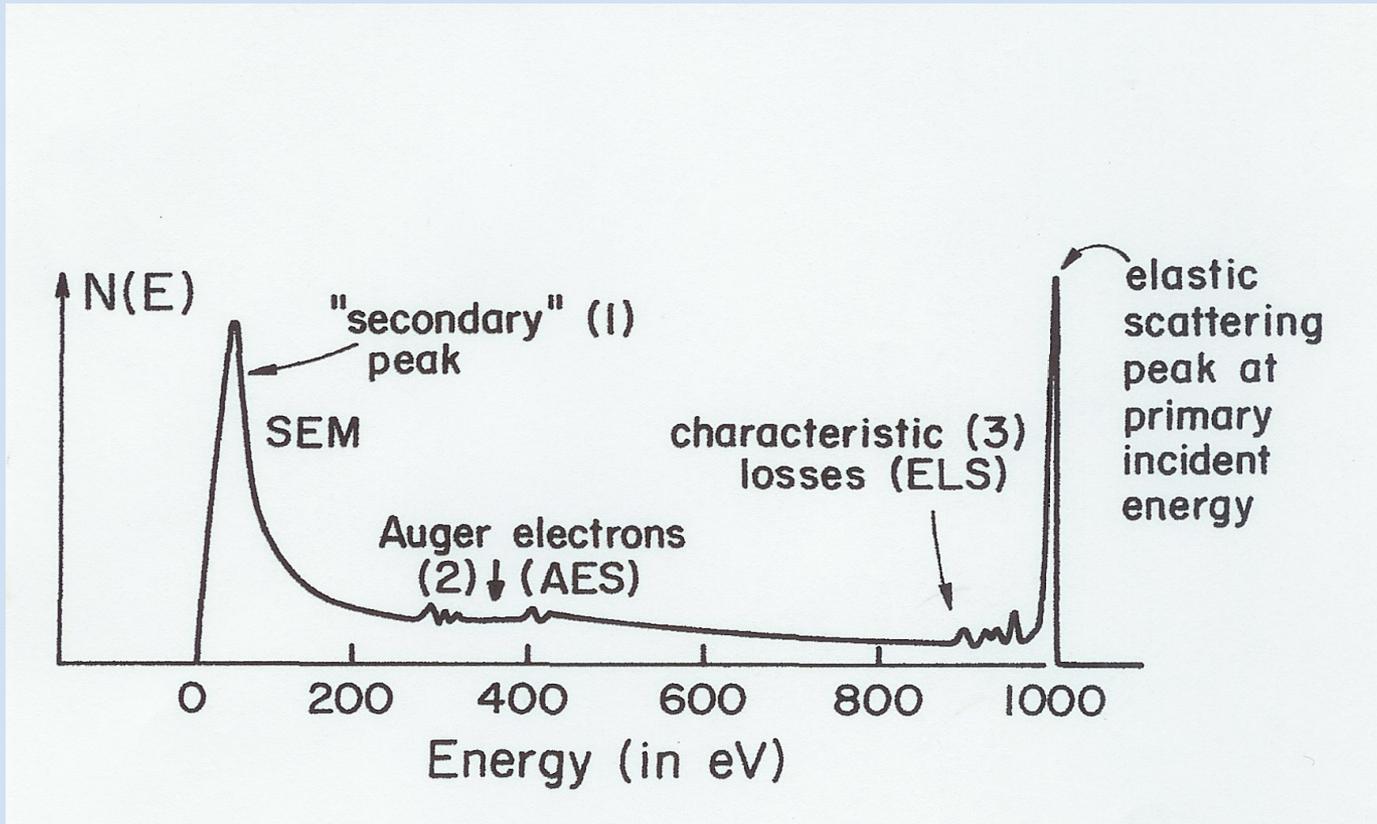
2. XRF (look at x-rays emitted)

$$F_x = \eta_{\text{SAMPLE ATTN.}} \cdot \eta_{\Delta}^x \cdot \eta_{DET}^x \cdot \eta_{\text{other}}$$

3. Auger (look at emitted Auger electrons)

$$F_A = \eta_{\Delta}^a \cdot \eta_{BSE} \cdot \eta_{\text{SAMPLE}} \cdot \eta_{\text{ATTEN}}$$

Electron Scattering from Solid Sample (“reflection”)



example of simple calculations
of electron scattering

$$\sigma_{\text{inel}} \cong \frac{35.9\sqrt{Z}}{E_i} \ln\left(\frac{4E_i}{E}\right) \text{ in } \text{\AA}^2 \text{ if } E_i \text{ is in eV}$$

$$\therefore \Lambda_{\text{inel}} \cong \frac{1}{n\sigma_{\text{inel}}} = \frac{E_i}{35.9n\sqrt{Z} \ln(4E_i/E)} \text{ in } \text{\AA}$$

if $n \text{ in } \text{\AA}^{-3}$

Aluminum. $Z_{\text{Al}} = 13 \Rightarrow n_{\text{Al}} = 6 \times 10^{-23} \text{ atoms/\AA}^3$ $E = 12.3\sqrt{Z} \text{ in eV}$

at $E_i = 11 \text{ keV}$ / $\sigma_{\text{inel}} = \frac{35.9\sqrt{13}}{10^3} \ln\left(\frac{4 \times 10^3}{12.3\sqrt{13}}\right) = 0.58 \text{ \AA}^2$

$$\Lambda_{\text{inel}} = \frac{1}{n\sigma_{\text{inel}}} = \frac{1}{0.06 \times 0.58} = 28.7 \text{ \AA}$$

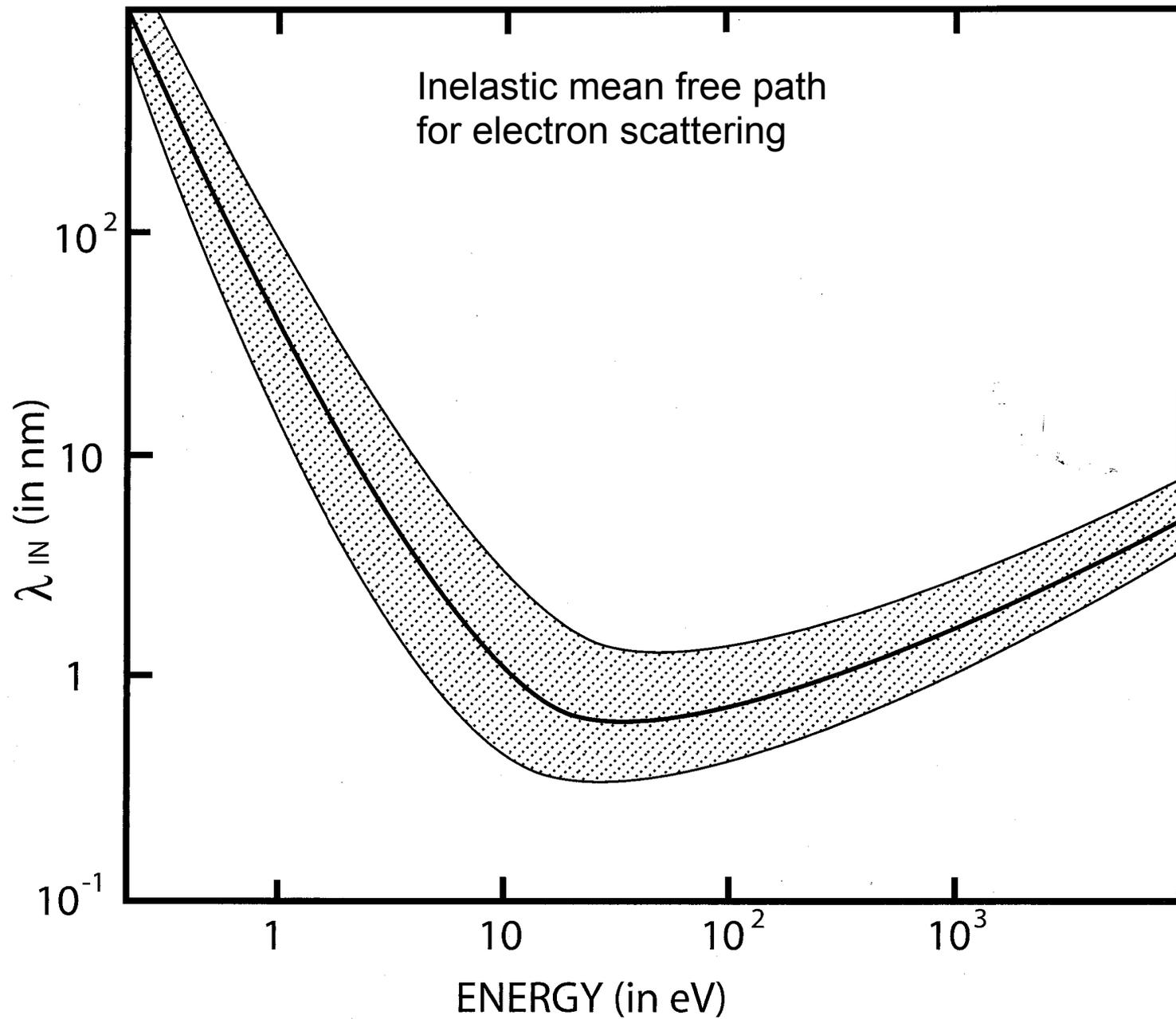
$$\Lambda_{\text{inel}}^{\text{Al}} = 2.87 \text{ nm}$$

Gold $Z_{\text{Au}} = 79 \Rightarrow n_{\text{Au}} = 5.9 \times 10^{-23} \text{ atoms/\AA}^3$

$$\sigma_{\text{inel}} = 1.9 \text{ \AA}^2$$

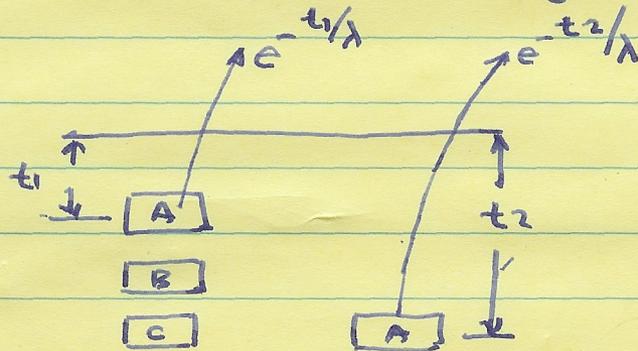
$$\Lambda_{\text{inel}} = \frac{1}{0.59 \times 1.9} = 0.88 \text{ nm} \Rightarrow 1.06 \text{ nm} = \Lambda_{\text{inel}}^{\text{Au}}$$

/compare to measurements/



From Seah and Dench, 1979. Surf. and Interface Anal.1.36

Effect of Depth on Auger Signal



if $\lambda =$ avg. escape depth from matrix, then

$$e^{-t_2/\lambda} < e^{-t_1/\lambda}$$

even if N_A is same in both cases!

Electron Beam Induced Secondary Electron Emission

